



Institute of Remote Sensing and Digital Earth  
Chinese Academy of Sciences



Hefei Institutes of Physical Science  
Chinese Academy of Sciences

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# GaoFen-5 GHG Monitoring Instrument

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# Outline

- GaoFen-5 mission
  - ✓ Overview
  - ✓ Payloads onboard
- GHG Retrieval Method
  - ✓ Aerosol Scattering
  - ✓ Cloud detect
  - ✓ Optimal Estimate

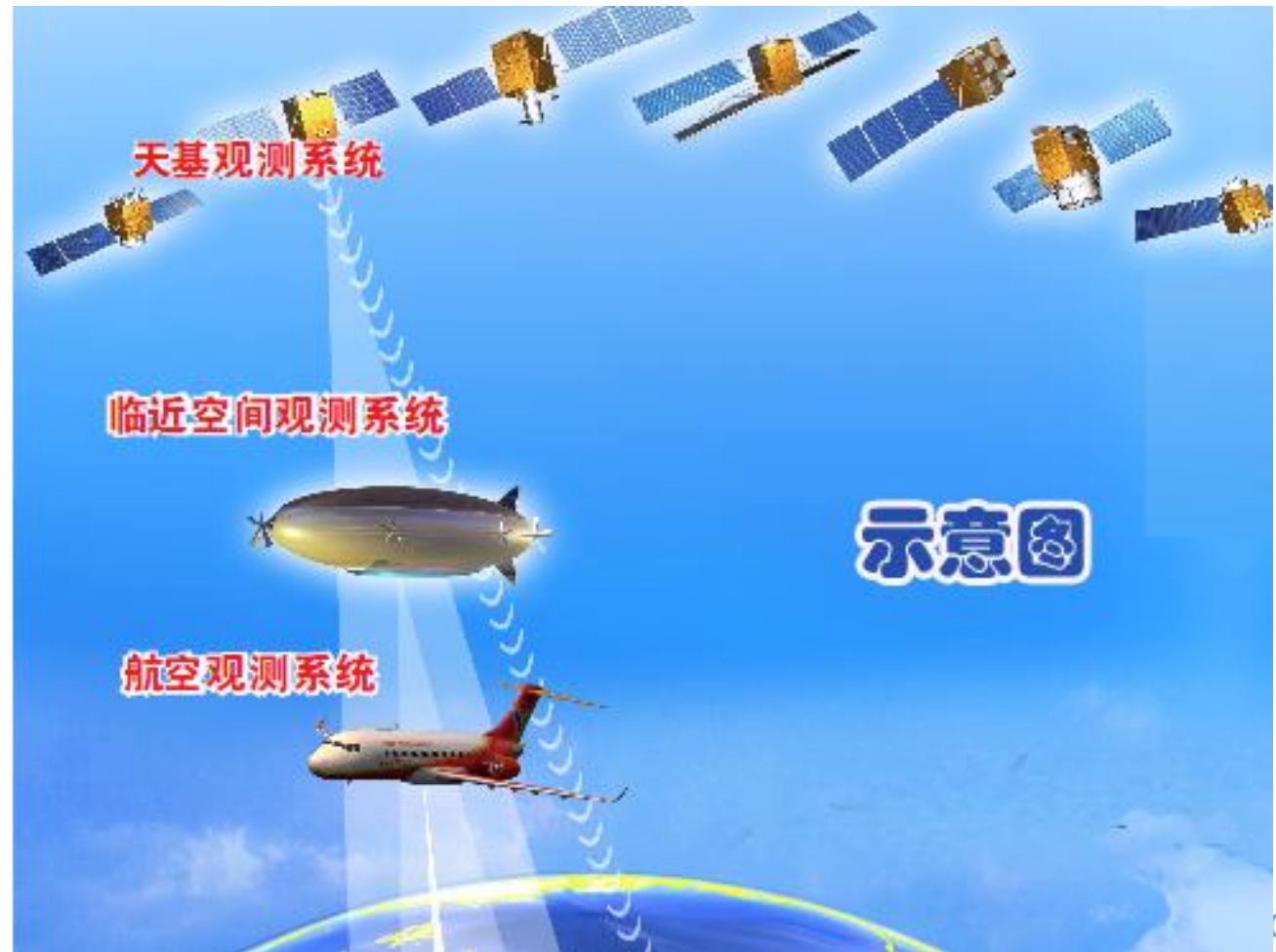
# Part1:GaoFen Mission

High-resolution earth observing system, an important component of China sustainable development plan of science and technology from 2006 to 2020.

**Space-based**

**High-altitude**

**Flight**



# Space-based: GaoFen series



## GaoFen-1

## GaoFen-2

## GaoFen-3

## GaoFen-4



Launch: 2013.4;

Polar Orbit;

Resolution: 8m/2m

Bandpass:0.45~0.89

Swath: 60km

Revisit: 4days

Launch: 2014.8;

Polar Orbit;

Resolution: 4m/2m

Bandpass:0.45~0.89

Swath: 45km

Revisit: 5days

Launch: 2016.8;

Polar Orbit;

Synthetic Aperture  
Radar (SAR);

Spatial resolution:  
1m

C Band: 4~6GHz

Launch: 2015.12;

Geostationary Orbit;

Spatial resolution:

➤ VIS-NIR: 50m

➤ MNIR: 400m

Swath: 400km

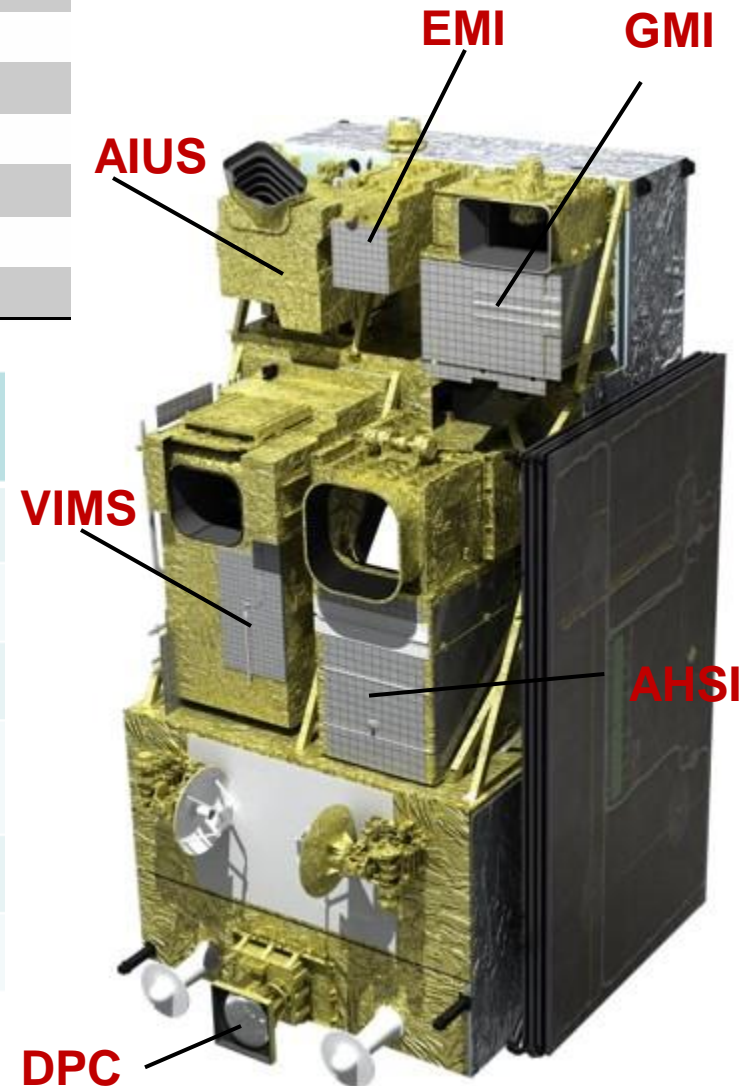
Sample interval: 20s

# GaoFen-5 to be launched in early May



Orbital Type	Sun synchronous orbit
Nominal orbital altitude	708.45km
Dip angle	98.218
Orbital flat period	98.805min
Eccentricity ratio	E<0.0001
Flight cylinder number every day	14.57
Orbital intercept	24.731
Local time of descending node	1:30 pm

Sensors onboard GaoFen-5	Similar to
Greenhouse gas Monitoring Instrument (GMI)	GOSAT
Directional Polarization Camera (DPC)	Polder/Parasol
Environment Monitoring Instrument (EMI)	OMI
Atmospheric Infrared <u>Ultraspectral</u> (AIUS), FTS	ACE-FTS
Visual and Infrared Multispectral Sensor (VIMS)	—
Advanced <u>Hyperspectral</u> Imager (AHSI)	—



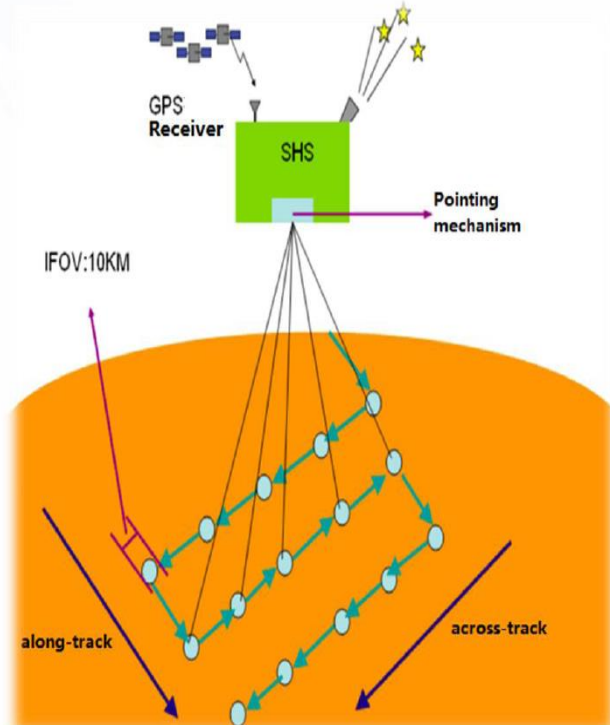
# GMI: main parameters



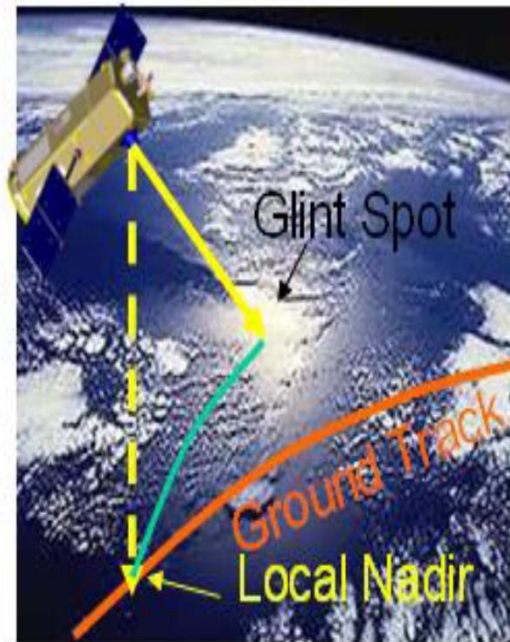
	technical parameters			
	O <sub>2</sub>	CO <sub>2</sub>	CH <sub>4</sub>	CO <sub>2</sub>
Central wavelength(um)	0.765	1.575	1.65	2.05
Band width(um)	0.759-0.769	1.568-1583	1.642-1.658	2.043-2.058
Spectral resolution	0.6cm <sup>-1</sup>	0.27cm <sup>-1</sup>		
SNR	300@ =30%		250@ =30%	
Radiation calibration	5% (relative, ~2%)			
Size	790mm (X) ×690mm (Y) ×575mm (Z)			
Field of view	14.6mrad IFOV<10.3km@708km			
Sample	5、7、9-pints			
Observation mode	nadir (mainly)/glint			
Weight	109kg			
Power	120W			
Data transfer rate	30Mbps			

# GMI observing strategy

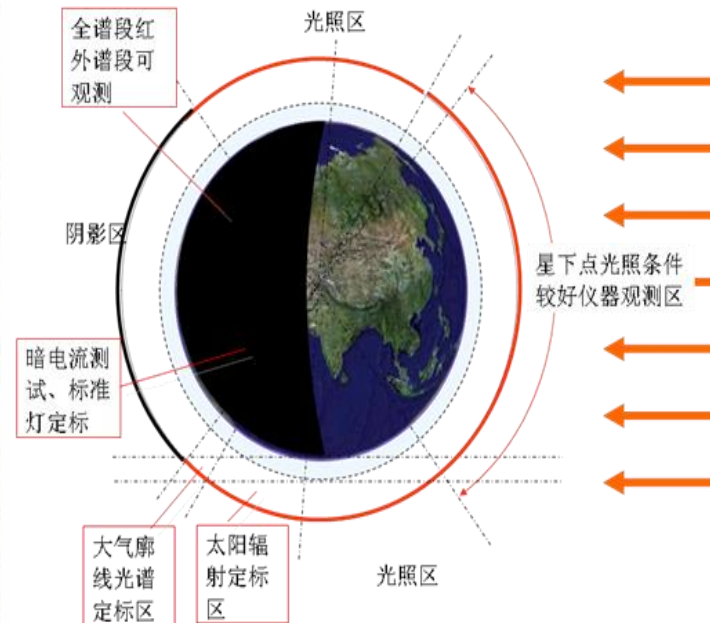
## Nadir model



## Glint model



## Calibration

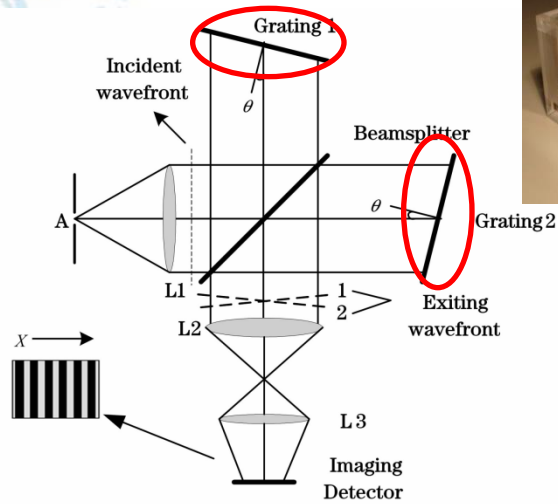
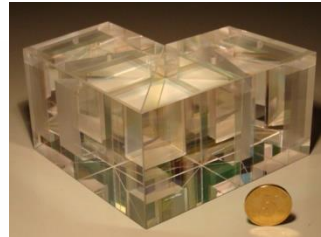


Observation patterns	Along track direction km)	Across-track direction (km)
5	100	212
7	130	142
9	130	106

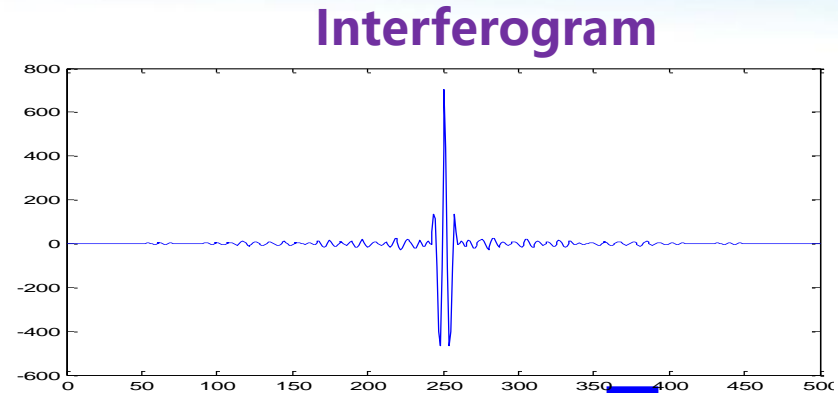
- ◆ Dark target  
Light Trap
- ◆ Bright target  
Solar irradiance

# GMI data acquire and process

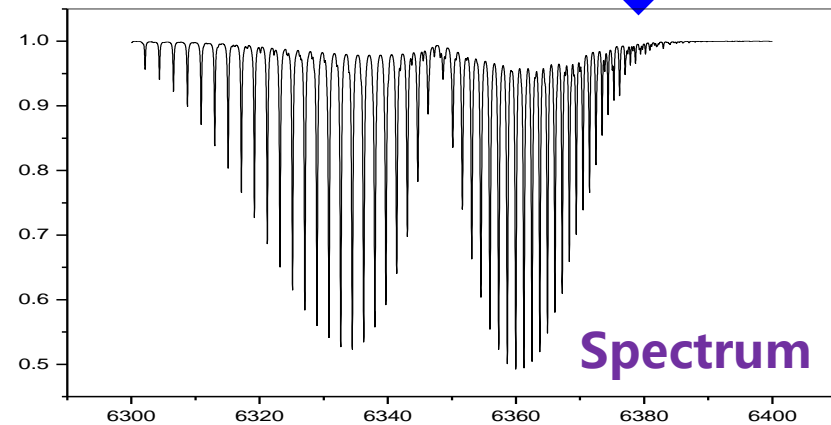
**SHS: Spatial heterodyne spectroscopy.**  
(J.Harlander, et.al, 1992)



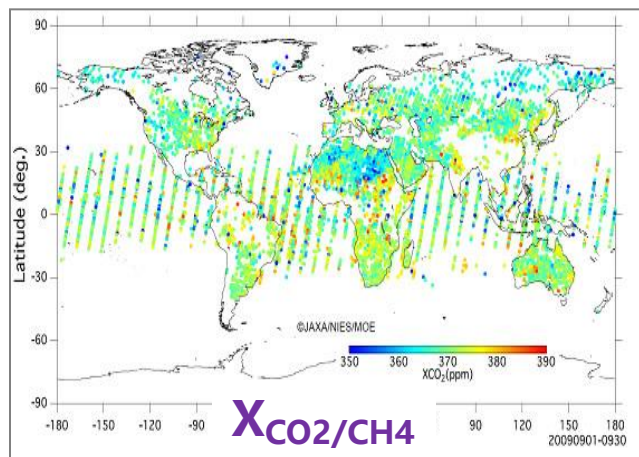
**Sampling**



**Fourier Transform**



**Retrieve**



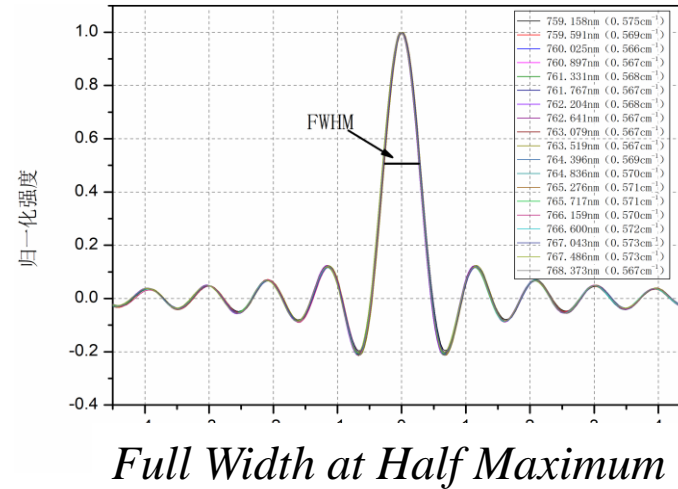


# GMI: ground calibration

## ◆ Spectral calibration



## Spectral resolution test

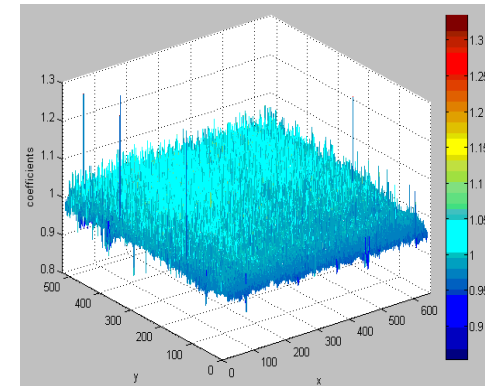
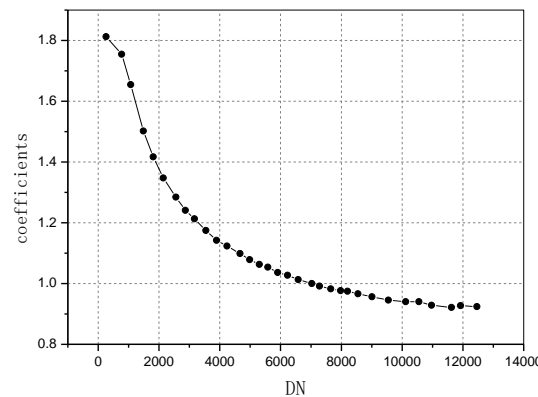


## ◆ Radiance calibration

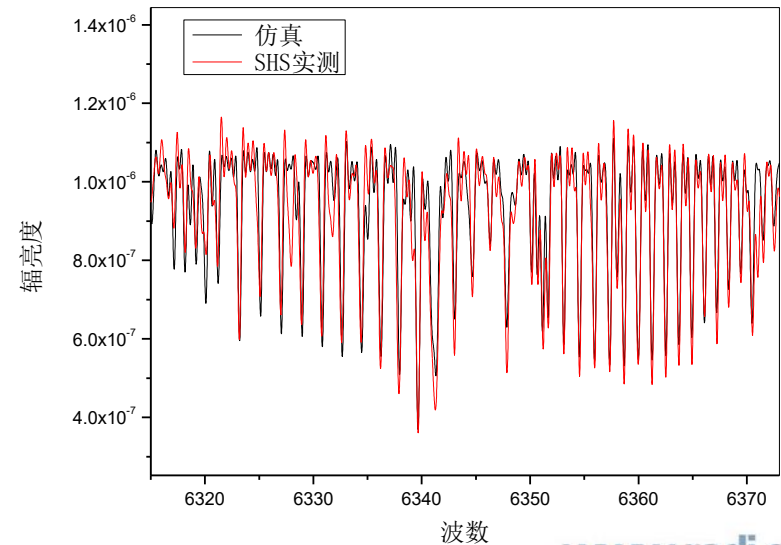
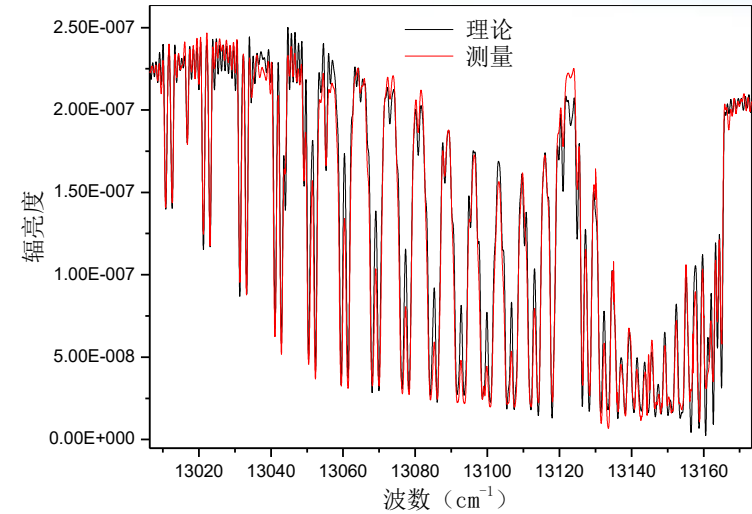
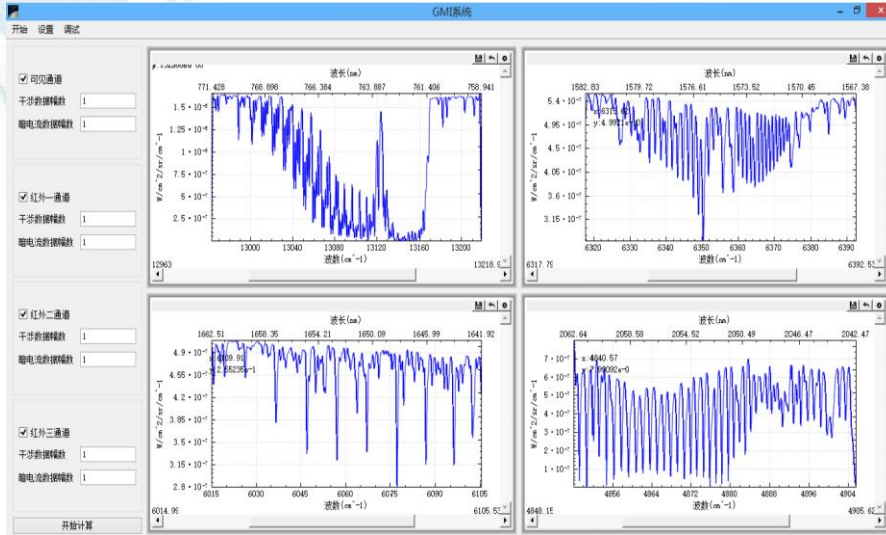


## correction of nonlinearity and inhomogeneity

● pixel (200, 250) nonlinearity correction coefficients



# GMI: simulation and ground test

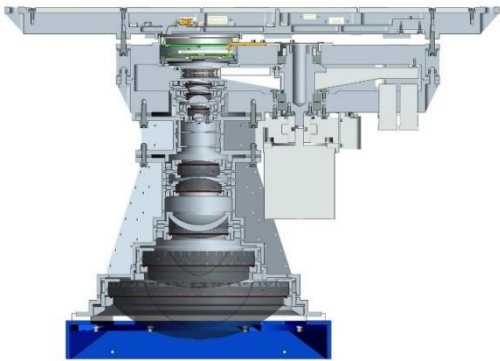


# DPC: main parameters



Parameters	Specifications
Channel	433nm~453nm、 555nm~575nm、 758nm~768nm、 845nm~885nm (P) 、 900nm~920nm 480nm~500nm (P) 660nm~680nm (P) 745nm~785nm
SNR	Better than 500(Land)
Polarization Analysis	Linear polarization, Three directions:0° 、 60° 、 120°
FOV	-50° ~+50°
Multi-angular Measurements	9 angles along track
Spatial Resolution	<b>Better than 3.5 km(at nadir)</b>
Calibration	Better than 5%
Polarization Calibration	Better than 2%
Digitalizing Bit	12bits
Bit Rate	9.45Mbps

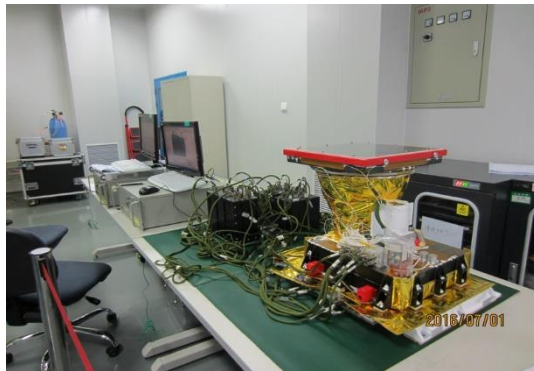
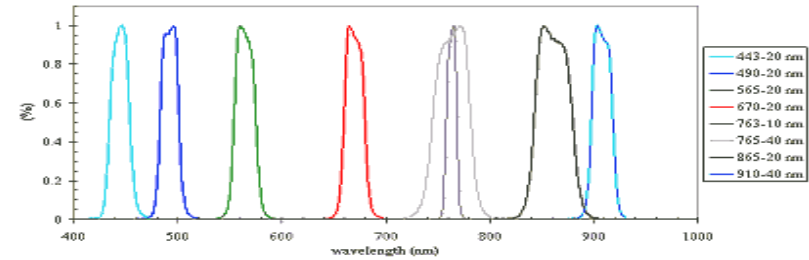
# DPC design



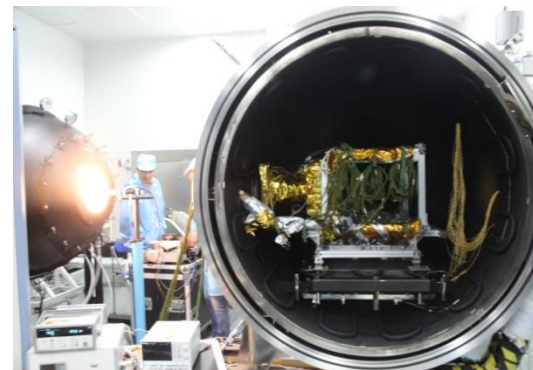
Mechanical part



Lens

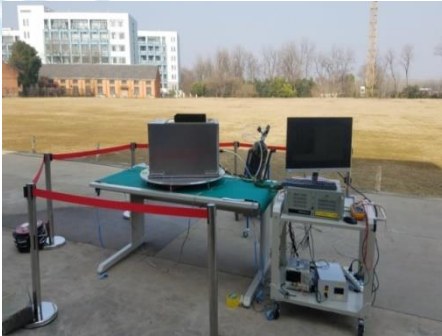


Testing of electrical interface

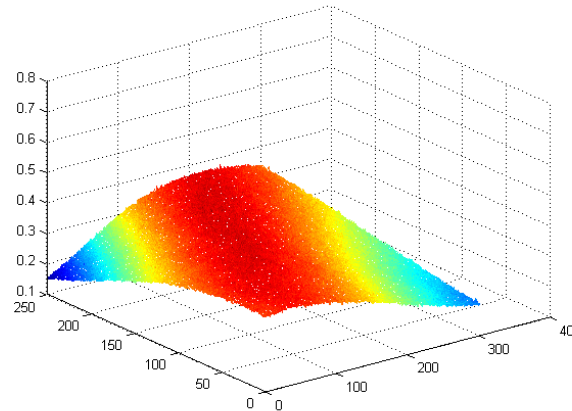


Environment simulation and test

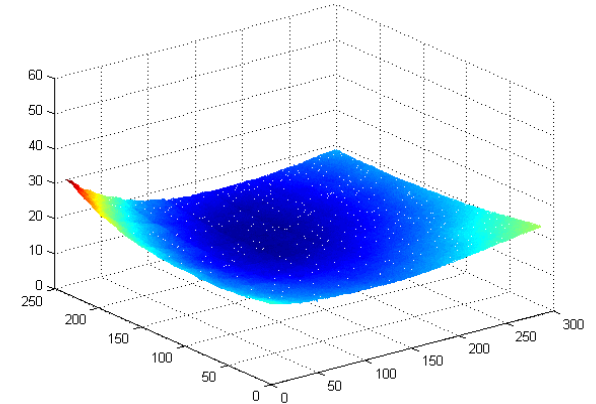
# DPC: field experiment



(1a) Experiment site



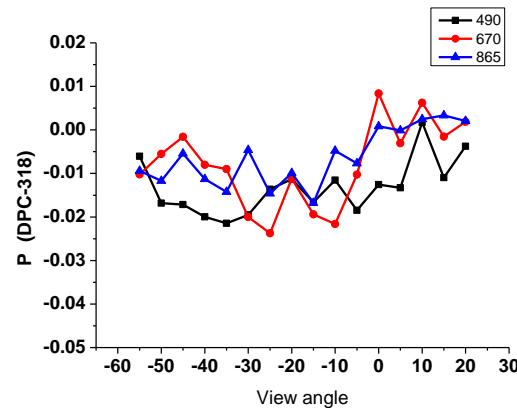
(2a) Sky polarization of 670nm



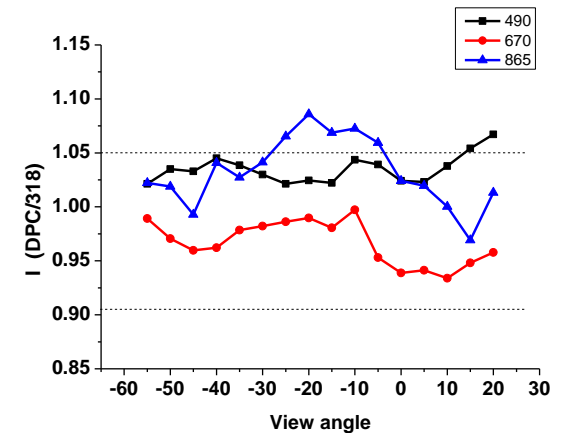
(3a) Sky radiance of 670nm



(1b) Original image



(2b) Polarization difference with CE318



(3b) Radiance difference with CE318

The comparison results with CE318: **Polarization difference <2%, Radiance difference average <5%.**

# DPC vs POLDER-3

	<b>POLDER/PARASOL</b>	<b>DPC</b>
<b>Operation Mode</b>	<ul style="list-style-type: none"> <li>• Frame imaging</li> <li>• Wide field of view imaging optical system</li> <li>• Polarizer and spectral filters, Acquisition of information of spectral and polarization channels</li> </ul>	The same as the left
<b>Detector</b>	<ul style="list-style-type: none"> <li>• CCD matrix(242 X 274)</li> </ul>	CCD matrix(512 X 512)
<b>Channel</b>	<ul style="list-style-type: none"> <li>• Visible-Near infrared band</li> <li>• Three Polarized Channel + 5 Non-polarized Channel</li> </ul>	The same as the left
<b>FOV</b>	<ul style="list-style-type: none"> <li>• <math>-50^{\circ} \sim +50^{\circ}</math></li> </ul>	The same as the left
<b>IFOV</b>	<ul style="list-style-type: none"> <li>• 6 X 7 km</li> </ul>	3.29 km

# DPC: Proposed L2 products

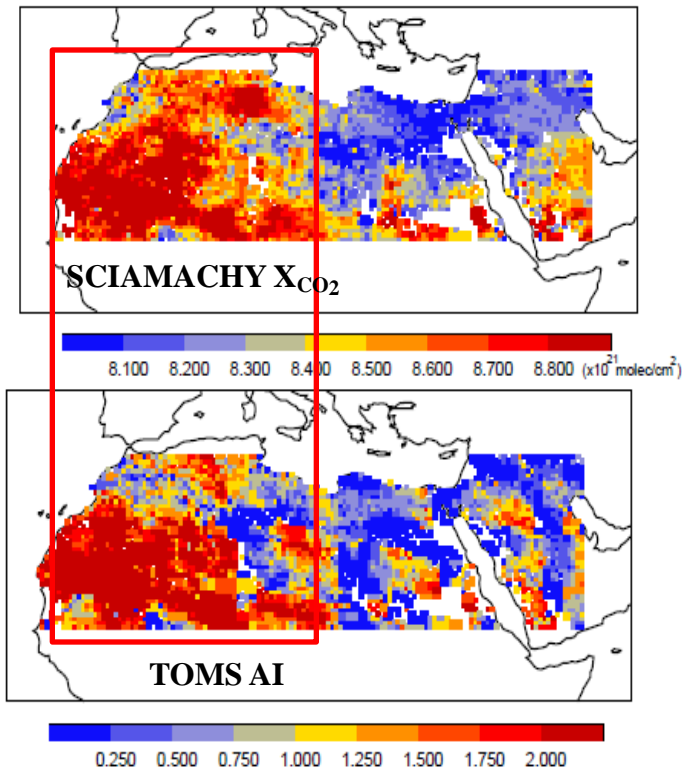
Retrieved parameter	Sensor	Temporal Coverage
<b>Aerosol</b>		
<ul style="list-style-type: none"> <li>Aerosol optical thickness (AOT)</li> <li>Angstrom exponent</li> <li>Backscattering coefficient</li> <li>Non-sphericity index</li> </ul>	DPC	1 file/orbit
<b>Water vapor and clouds</b>		
<ul style="list-style-type: none"> <li>Cloud fraction</li> <li>Cloud thermodynamic phase</li> <li>Cloud optical thickness</li> <li>Cloud oxygen pressure</li> <li><b>Cloud effective radius</b></li> <li>Water vapor integrated content</li> </ul>	DPC	1 file/orbit

# Part2: Retrieval Method

**Challenge:** How to account for aerosol scattering?

Correlation between CO<sub>2</sub> retrieval and Aerosol Index (AI)

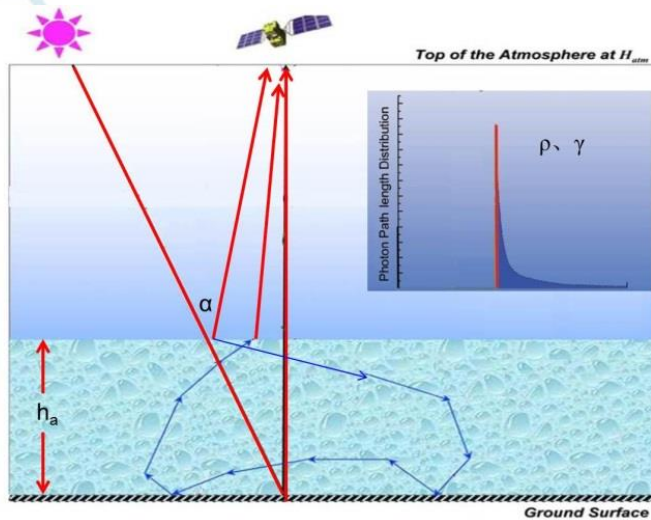
Frequent air pollution events with high aerosol optical depth.





# Photon path-length Probability Density Function

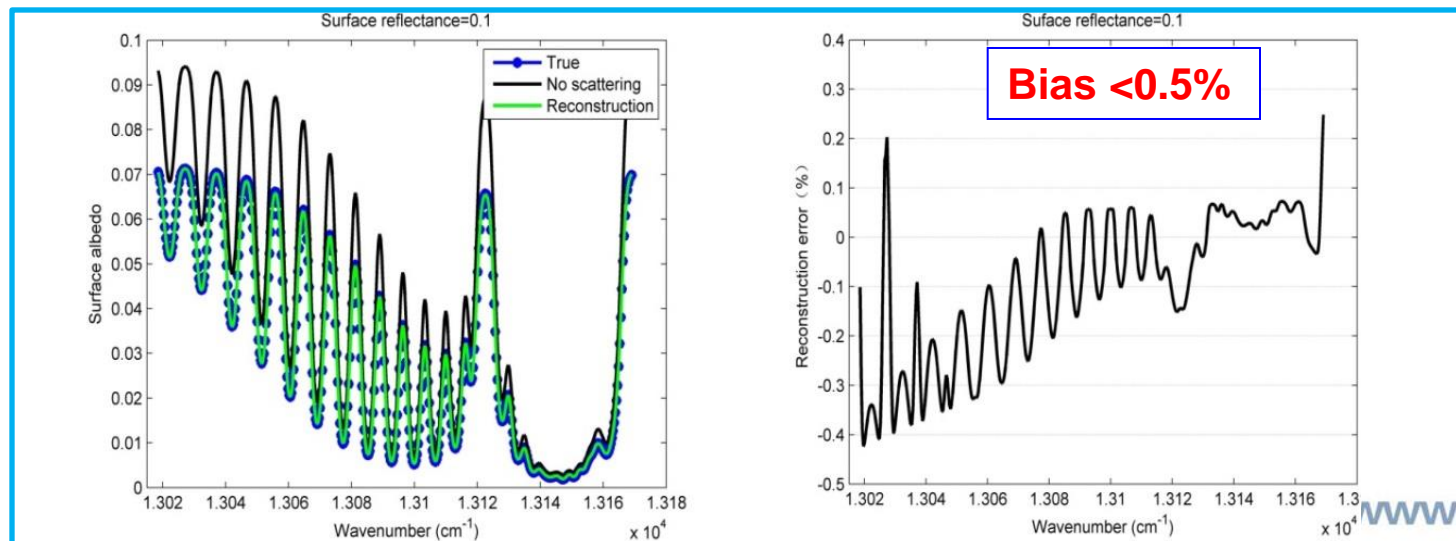
**PPDF**: using 4 factors to modify the RTM (Brill., et al, 2007) :



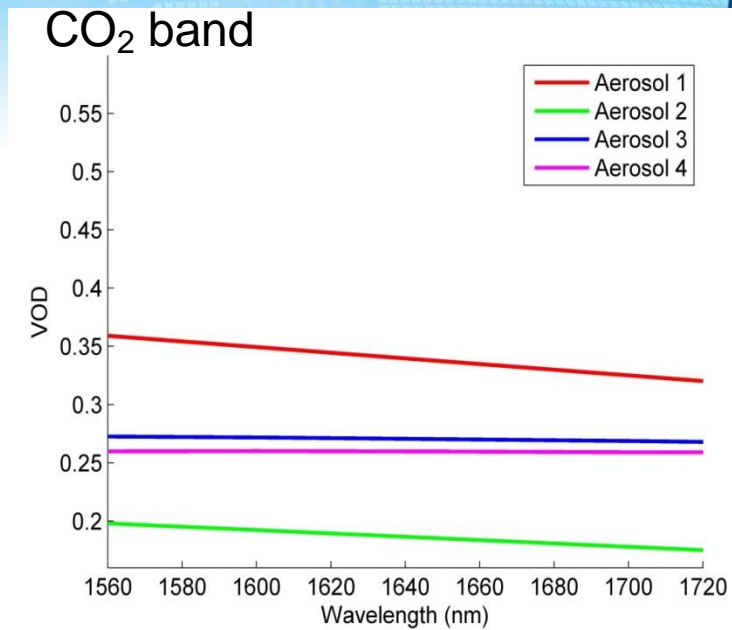
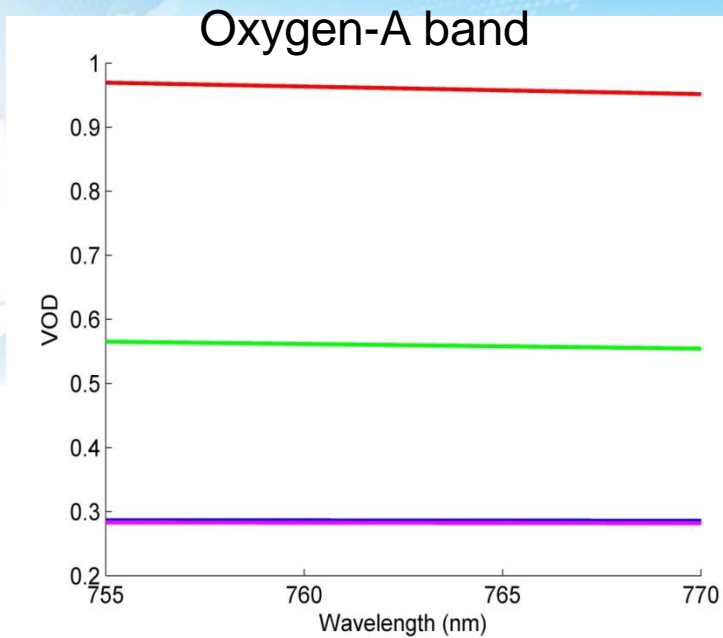
$$\tilde{T} = \alpha \cdot T_2 + (1 - \alpha) \cdot T_1 \cdot T_2$$

$$T_1 = \exp \left[ - \left( \frac{1}{\mu} + \frac{1}{\mu_0} \right) \cdot (1 + \delta) \cdot \tau_1 \right]$$

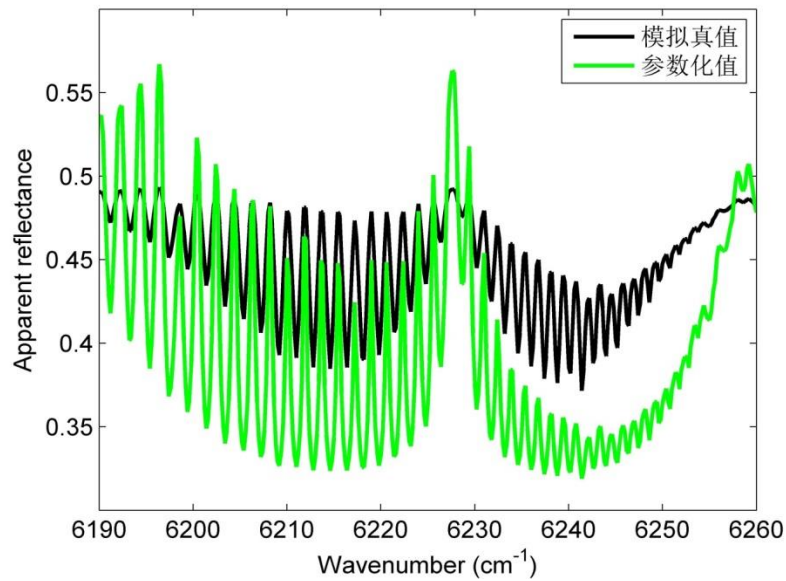
$$T_2 = \exp \left[ - \left( \frac{1}{\mu} + \frac{1}{\mu_0} \right) \cdot \tau_2 \right]$$



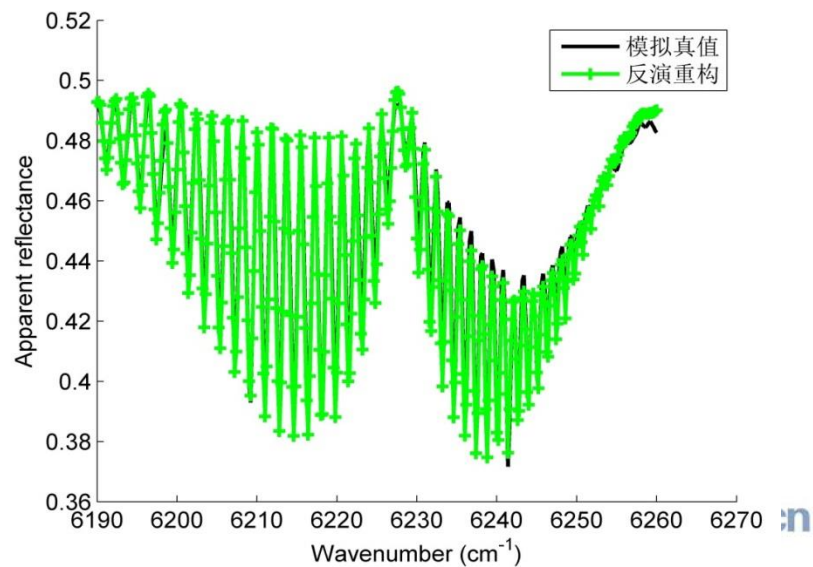
# How to apply to GHGs band?



applied directly to CO<sub>2</sub> band:



Synchronous retrieval:

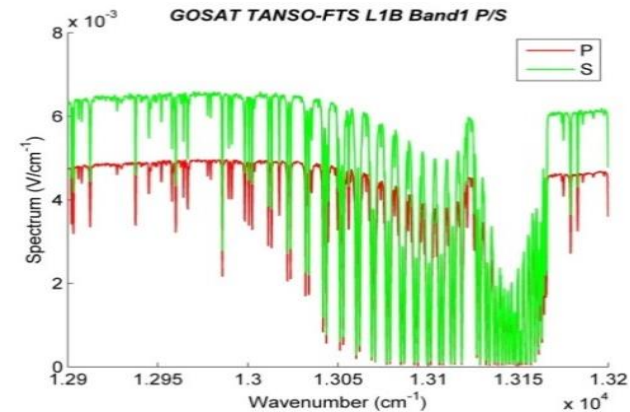
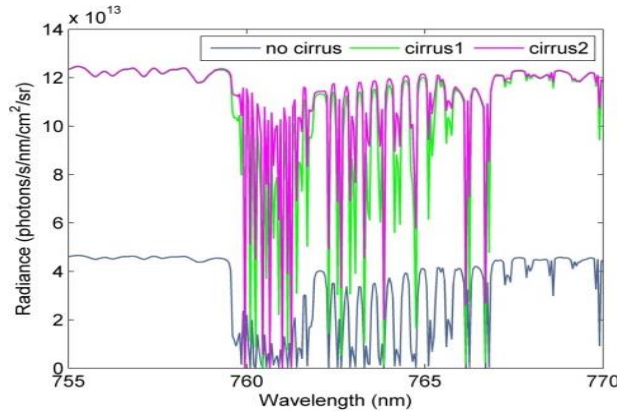


# Cloud detect

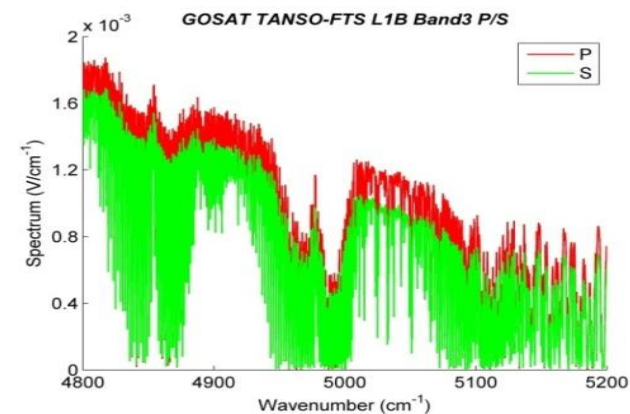
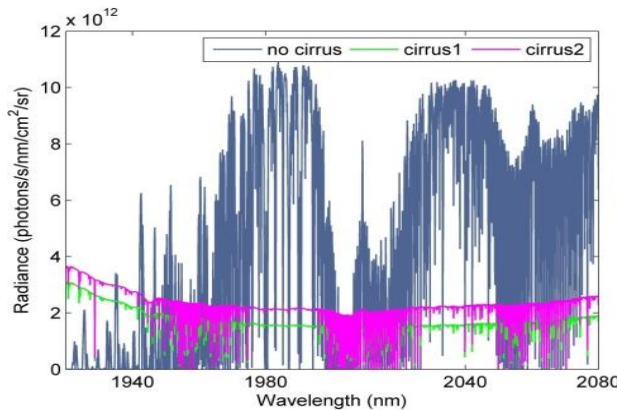
**Step 1:** using O2-A Band to judge if scattering exist ?

**Step2:** if Step 1 yes, determine aerosol or cloud based on the spectral characteristic of both O2-A Band and 2.0  $\mu\text{m}$  Band

## O2-A Band



## 2.0 $\mu\text{m}$ Band



# Optimal Estimation Algorithm

**Observation Y,**

$$Y = F(X) + \varepsilon$$

**Cost function:**

$$J(X') = \|Y - F(X')\| = \min$$

**iteration** ( *Rodgers,2000* ) , **expressed as:**

$$\mathbf{x}_{i+1} = \mathbf{x}_i + [\mathbf{S}_a^{-1} + \mathbf{K}_i^T \cdot \mathbf{S}_e^{-1} \cdot \mathbf{K}_i]^{-1} * \{ \mathbf{K}_i^T \cdot \mathbf{S}_e^{-1} [\mathbf{y} - \mathbf{F}(\mathbf{x})] - \mathbf{S}_a^{-1} [\mathbf{x}_i - \mathbf{x}_a] \}$$

**To stabilize the iteration:**

$$X_{i+1} = X_i + \alpha [K_i^T \cdot S_e^{-1} \cdot K_i + S_a^{-1} + \gamma \cdot I]^{-1} * \{ K_i^T \cdot S_e^{-1} [Y - F(X)] - S_a^{-1} [X_i - X_a] \}$$

**modified damped newton method (MDNM)**

*Mingmin Zou et al., 2016*

# Retrieval result

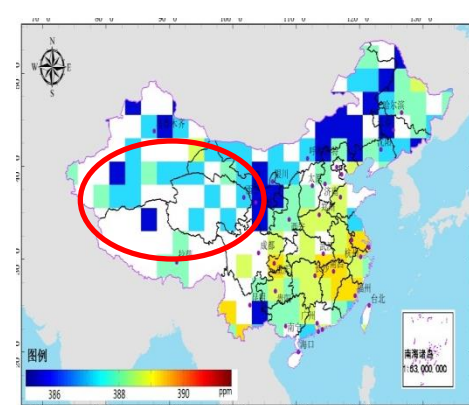
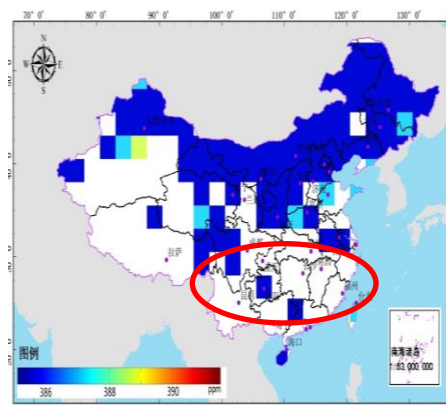
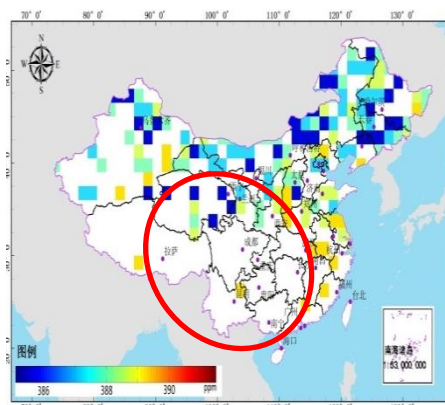
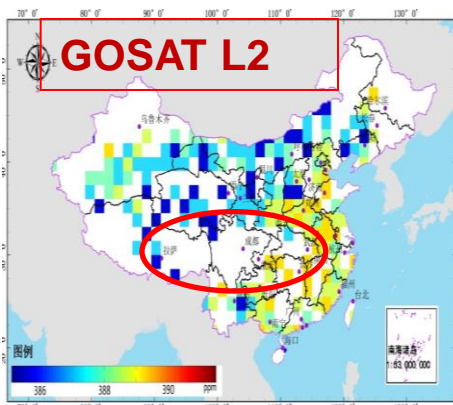
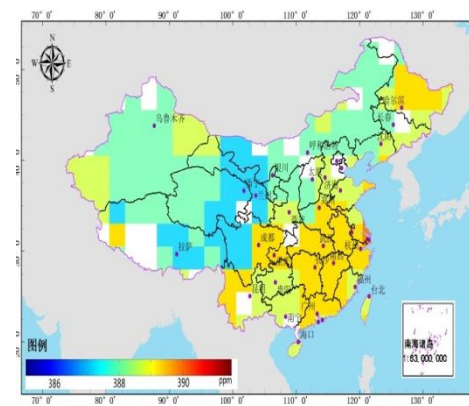
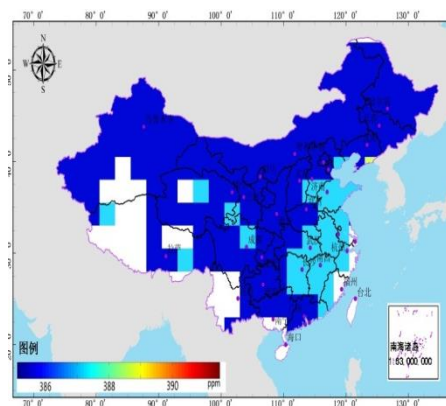
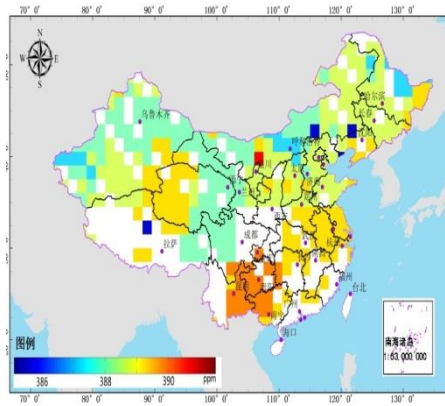
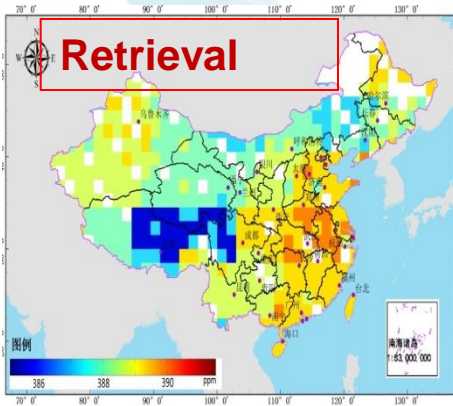
## Comparing to GOSAT CO<sub>2</sub> L2 product (2010)

1~3月

4~6月

7~9月

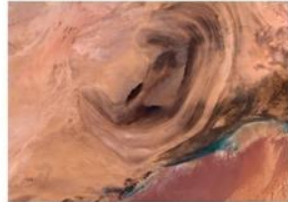
10~12月



# Summary

- GaoFen mission overview and introduction to GMI and DPC onboard GaoFen-5
- PPDF-based method to account for aerosol scattering
- Fast cloud screening method
- GHG retrieval results from GOSAT L1 data

# Thanks!



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