

# Satellite aerosol products and PM<sub>2.5</sub> - current state of the art

David J. Diner  
Yang Liu



**Jet Propulsion Laboratory**  
California Institute of Technology



**EMORY**

**ROLLINS  
SCHOOL OF  
PUBLIC  
HEALTH**

CEOS Atmospheric Composition – Virtual Constellation  
June 2020

# Airborne particulate matter (PM): a major risk to human health

1



Airborne PM has been associated with

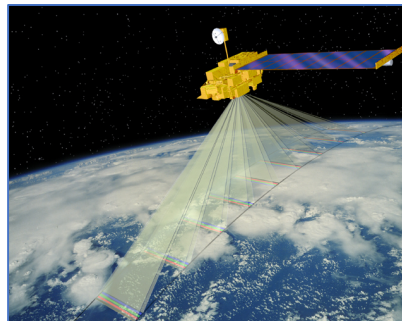
- premature deaths (>4 million per year globally)
- cardiovascular and respiratory disease
- pregnancy complications and low birth weight
- lung cancer
- many other adverse health outcomes

$PM_{2.5}$  = near-surface mass concentration of airborne particles < 2.5  $\mu m$  in aerodynamic diameter



Surface monitors

- $PM_{2.5}$  determined in situ
- high accuracy
- sparsely distributed



Satellites

- $PM_{2.5}$  inferred indirectly
- moderate accuracy
- enable mapping

# Relationship of aerosol parameters to PM

2

Satellite aerosol optical depth (AOD)	PM concentration
<ul style="list-style-type: none"><li>○ Column-averaged (passive sensors)</li><li>○ Dimensionless</li><li>○ Observed at time of overpass only (low Earth orbit)</li><li>○ Corresponds to ambient conditions</li></ul>	<ul style="list-style-type: none"><li>○ Surface level</li><li>○ Reported in <math>\mu\text{g m}^{-3}</math></li><li>○ Sampled frequently and typically daily-averaged for health studies</li><li>○ Corresponds to dry mass</li></ul>

$$PM_{2.5} \approx \frac{4\rho r_{\text{eff}}}{3HQ_{\text{ext,dry}}f(RH)} \cdot AOD_{\text{satellite}}$$

- $\rho$  = particle density
- $r_{\text{eff}}$  = effective particle radius
- $H$  = height of the aerosol layer
- $Q_{\text{ext,dry}}$  = extinction efficiency under dry conditions
- $f(RH)$  = conversion factor from dry to ambient

▪ Aerosol parameters are particle type dependent

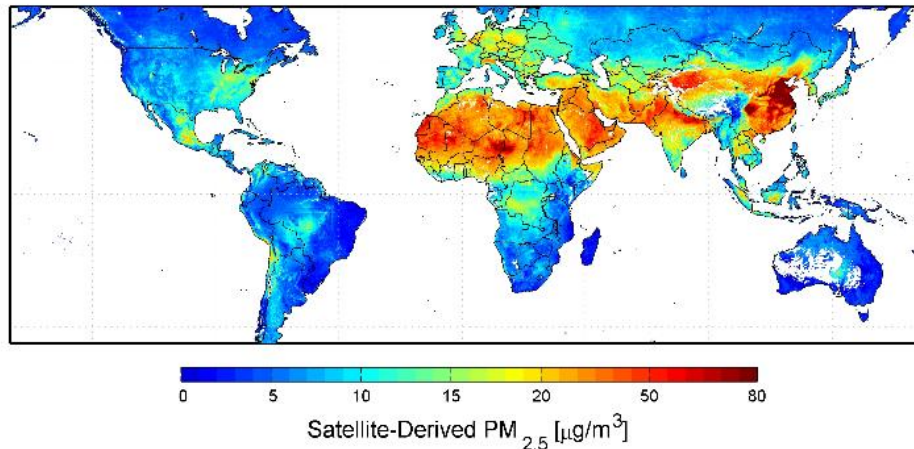
*Koelemeijer et al., AE (2006),  
Gupta and Christopher, JGR (2009)*

# Transformation of satellite aerosol to PM: Scaling with chemical transport models (CTMs)

3

$$PM_{2.5} = \eta \times AOD_{\text{satellite}} = \frac{PM_{2.5,CTM}}{AOD_{CTM}} \times AOD_{\text{satellite}}$$

- Used in the US by *Liu et al., JGR (2004)* using MISR, GEOS-Chem, GOCART
- Extended globally by *van Donkelaar et al., JGR (2006), EHP (2010, 2015)* using MODIS, MISR, SeaWiFs and GEOS-Chem



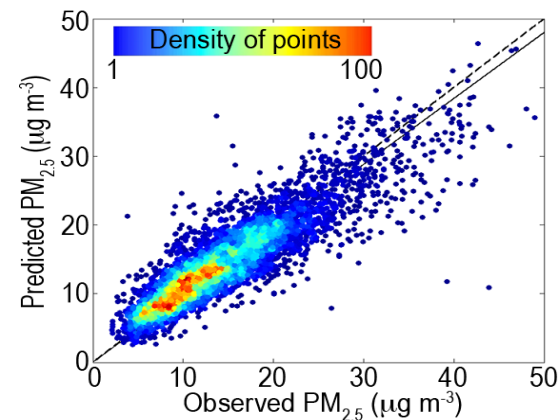
- Applied to many health impact studies including the Global Burden of Disease (*Brauer et al., ES&T, 2016; Gakidou et al., Lancet, 2017*)

# Transformation of satellite aerosol to PM: Regression models

4

$$\begin{aligned} \text{PM}_{2.5} &= \alpha \text{ (Spatiotemporal offsets)} + \beta \cdot \text{AOD}_{\text{satellite}} \\ &+ \gamma \cdot \text{Geospatial predictors (road density, population, land use)} \\ &+ \delta \cdot \text{Spatiotemporal predictors (e.g., meteorological variables)} \end{aligned}$$

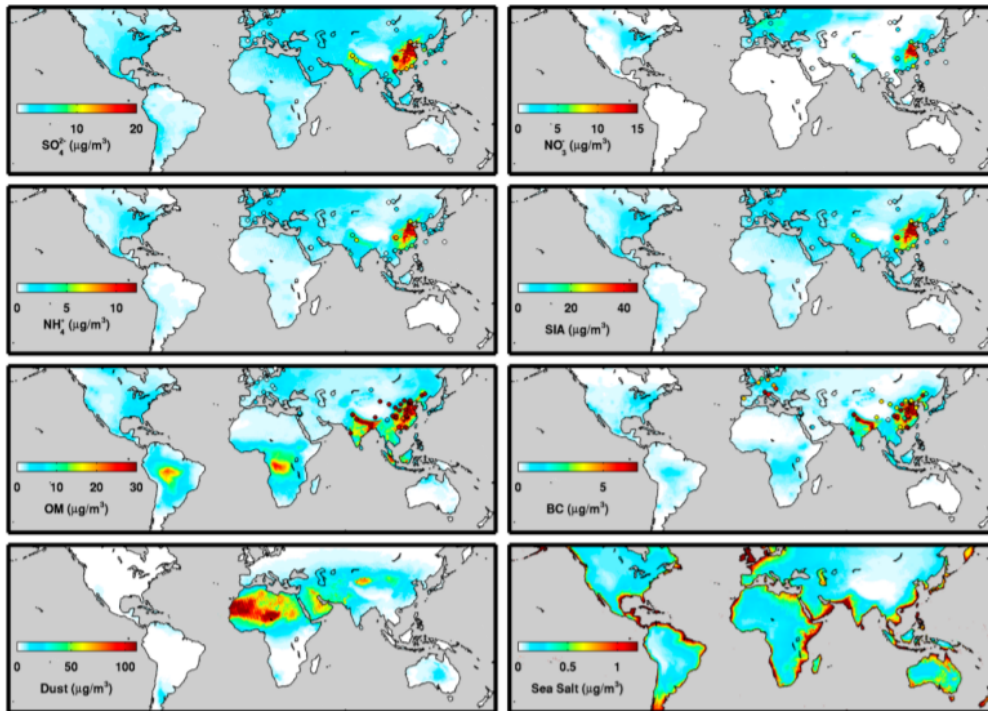
- Coefficients calibrated using surface monitor measurements
- Bayesian statistical formulation (e.g., *Shaddick et al., Appl. Stat., 2018*)
- Linear (*Lee et al., ACP, 2011*), nonlinear (*Sorek-Hamer et al., Environ. Poll., 2013*), and machine learning approaches used (e.g., *Gupta and Christopher, JGR, 2009; Hu et al., ER, 2017*)
- Applied to many health impact studies, e.g., birth outcomes (*Kloog et al., EH, 2012*) and pediatric respiratory infections (*Strickland et al., EHP, 2016*)



# Transformation of satellite aerosol to *speciated* PM: CTM scaling approach

5

- Extension to speciated PM<sub>2.5</sub> (e.g., SO<sub>4</sub>, NO<sub>3</sub>, OC, EC/BC, dust)
- Species-specific values of  $\eta$  derived from GEOS-Chem (*Philip et al., ES&T, 2014*)



*Philip et al., ES&T (2014)*

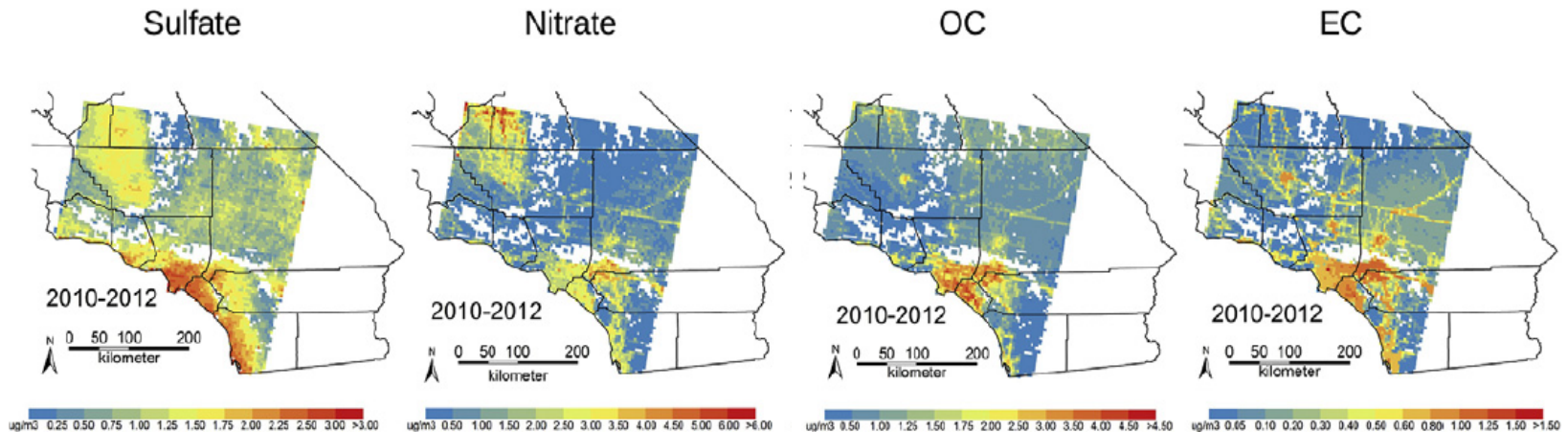
No ground observation involved



# Transformation of satellite aerosol to *speciated* PM: Regression approach

6

- Extension to speciated PM<sub>2.5</sub> (e.g., SO<sub>4</sub>, NO<sub>3</sub>, OC, EC/BC, dust)
  - Fractional AODs of different particle types from MISR (*Franklin et al., RSE, 2017; Meng et al., AE, 2018*), calibrated using speciated PM<sub>2.5</sub> from CSN/IMPROVE (*Solomon et al., JAWMA, 2014*), SPARTAN (*Snider et al., AMT 2015*)



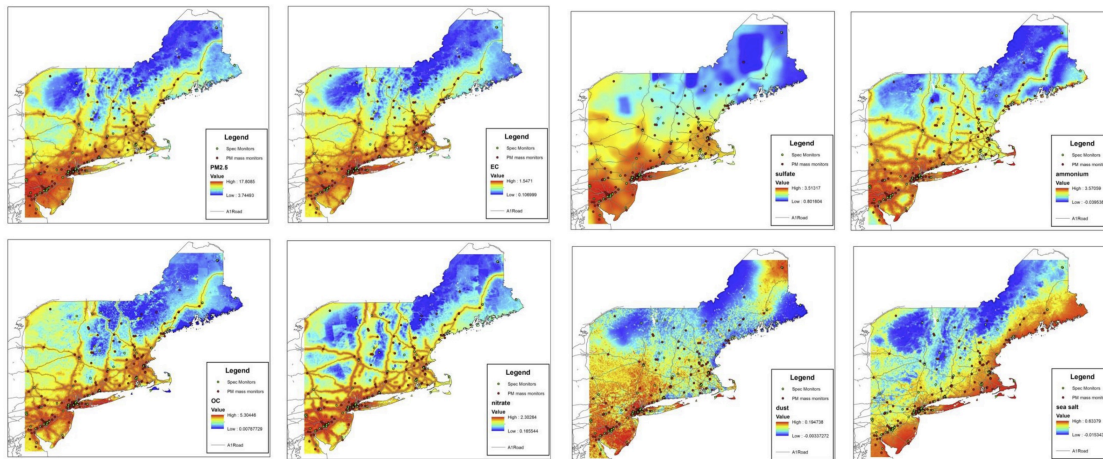
*Meng et al., AE (2018)*

Requires ground PM<sub>2.5</sub> speciation measurements

# Transformation of satellite aerosol to PM: Advanced models

7

- Integration of CTM and regression approaches
  - CTM-based scaling terms used as spatiotemporal predictors in regression models and bias-corrected using surface monitors (*Dey et al., RSE, 2012*)
- Parameters other than total or fractional AOD as predictors
  - Particle effective radius, phase function asymmetry from AERONET inversion products show good skill (*Sorek-Hamer et al., AGU, 2019*)



*Di et al., AE (2016)*

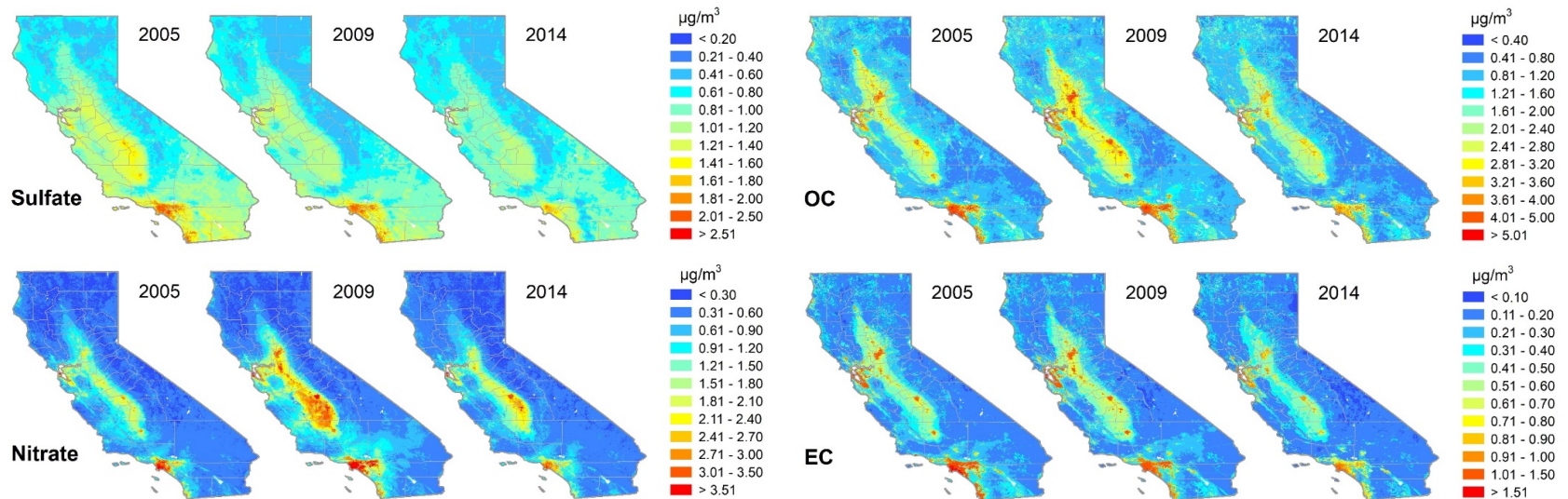
Land use regression using GEOS-Chem simulation results, no AOD involved



# MISR aerosol microphysical properties as predictors in machine learning models

8

- ML models often make more accurate predictions than statistical models, but they require a large training dataset, difficult to collect
- High quality model simulations, meteorological fields, and land use variables are important predictors in addition to satellite retrievals



Geng et al., ERL (2020)