

Analysis Ready Data

Product Family Specification:

Synthetic Aperture Radar

Document Status

For Adoption as: Product Family Specification, Synthetic Aperture Radar

Proposed revisions may be provided to:

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Document History

| Version | Date | Description of change | Affected CARD product | Author |
|---------|----------------|---|-----------------------------|---|
| 0.1 | 14-12- 2022 | Zero Draft based on the CEOS ARD NRB PFS v5.5, POL PFS 3.5, ORB PFS v1.0 and draft GSLC v0.1 | - | Charbonneau Truckenbrodt |
| 0.2 | 13-02- 2023 | Reformat to CEOS ARD PFS template | - | Rosenqvist |
| 0.3 | 29-07-2023 | Refinement of GSLC specifications and alignment with NRB, POL and ORB parameters. Annex reorganization and ORB and GSLC examples added | [GSLC] | Charbonneau, Zebker, Rosenqvist, Albinet, Small, Truckenbrodt |
| 0.31 | хх | New items 1.7.15 (Reference orbit) and 3.7 (Flattened Phase) and added as Goal | [NRB] [POL] | Charbonneau |
| 0.4 | 26-09-2023 | Item 4.3 (Geometric accuracy). Clarification added to indicate whether absolute location accuracy (ALE) estimates refer to source data, ARD product, or both. | [NRB] [POL] [ORB] [GSLC] | Small, Chapman, Charbonneau, Rosenqvist, Albinet, Truckenbrodt |

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CEOS Analysis Ready Data Definition

"CEOS Analysis Ready Data (CEOS ARD) are satellite data that have been processed to a minimum set of requirements and organized into a form that allows immediate analysis with a minimum of additional user effort and interoperability both through time and with other datasets."

Product Family Specification Title: Synthetic Aperture Radar (CEOS ARD SAR)

Applies to:

Data collected by Synthetic Aperture Radar sensors.

Background to CEOS ARD for Synthetic Aperture Radar:

The CEOS Analysis Ready Data (CEOS ARD) Product Family Specification (PFS) for Synthetic Aperture Radar (SAR) data is specifically aimed at users interested in exploring the potential of SAR but who may lack the expertise or facilities for SAR processing.

This CEOS ARD for Synthetic Aperture Radar PFS incorporates, into a single generic document, the following four CEOS ARD SAR specifications endorsed by CEOS Land Surface Imaging-Virtual Constellation (CEOS LSI-VC) (as of Oct. 2023):

- Normalised Radar Backscatter [version 5.5]
- Polarimetric Radar [version 3.5]
- Ocean Radar Backscatter [version 1.0]
- Geocoded Single-Look Complex [version 1.0]

The **CEOS ARD Normalised Radar Backscatter [NRB]** specification describes products that have been subject to Radiometric Terrain Correction (RTC) and are provided in the Gamma-Nought (γ_T^0) backscatter convention (Small, 2011), which mitigates the variations from diverse observation geometries and is recommended for most land applications. An additional metadata layer can be optionally provided for conversion of γ_T^0 to Sigma-Nought (σ_T^0) backscatter layer for compatibility with legacy software or numerical models. As the **[NRB]** product contains backscatter values only, it cannot be directly used for SAR polarimetry or interferometric applications that require relative polarization phase or local phase estimates respectively. Nonetheless, as an option, a "flattened" phase data layer can be provided with an **[NRB]** product for enabling InSAR analysis. The "flattened" phase is the interferometric phase, with respect to a reference orbit and to a DEM, for which the topographic phase contribution is removed.

The **CEOS ARD Polarimetric Radar [POL]** product format is an extension of the CEOS ARD Normalised Radar Backscatter format **[NRB]**. This extension is required in order to better support Level-1 SLC polarimetric data, including full-polarimetric modes (e.g., RADARSAT-2, ALOS-2/4, SAOCOM-1 and future missions), and hybrid or linear dual-polarimetric modes (i.e., Compact Polarimetric mode available on RCM, SAOCOM and the upcoming NISAR mission). The **[POL]** product can be defined in two processing levels:

- The normalised covariance matrix [CovMat] representation (C2 or C3) which preserves the inter-channel polarimetric phase(s) and maximizes the available information for users. Interoperability within current CEOS ARD-SAR backscatter definition is preserved, since diagonal elements of the covariance matrix are backscatter intensities. Scattering information enhancement can be achieved by applying incoherent polarimetric decomposition techniques (e.g., Freeman-Durden, van Zyl, Cloude-Pottier, Yamaguchi-based) directly on the C2 or C3 matrix.
- Polarimetric Radar Decomposition **[PRD]** refers to ARD products where polarimetric information is broken down into simplified parameters to facilitate user interpretation of the data. They are derived from coherent or incoherent polarimetric decomposition techniques.

The **CEOS ARD Ocean Radar Backscatter [ORB]** product specification describes products that have been projected on a geoid and are provided in the Sigma-Nought (σ^0) backscatter convention, which is recommended for most ocean applications. Backscatter may be calibrated to the ellipsoid (σ_E^0) or radiometrically terrain corrected (σ_T^0) prior to geometric terrain correction. As the basic **[ORB]** product contains backscatter values only, it *cannot* be directly used for SAR polarimetry or interferometric applications that require local phase estimates. Nonetheless, an advanced **[ORB]** product could include the upper diagonal of the polarimetric σ^0 covariance matrix for enabling advanced polarimetric analysis (similar to the **[POL]** product).

The **CEOS ARD Geocoded Single-Look Complex (GSLC)** product is relevant to interferometric studies. The **[GSLC]** product is derived from the range-Doppler (i.e. slant range) Single-Look Complex (SLC) product using a DEM and the orbital state vectors and output in the map projected system. The phase of a geocoded SLC is "flattened" with respect to a reference orbit and to a DEM, to eliminate topographic phase contributions [Zebker et al., 2017 and Zheng and Zebker, 2017]. The sample spacing of the **[GSLC]** product in the map coordinate directions is comparable to the full resolution original SLC product. The **[GSLC]** product can be directly overlaid on a map or combined with other similar **[GSLC]** phase is flattened, the phase difference between two **[GSLC]** products acquired on a same relative orbit produces an interferogram referring only to surface displacement and noise (i.e., no

topographic fringes). The **[GSLC]** product may optionally be radiometrically terrain corrected such that the squared amplitude yields γ_T^0 .

As can be seen from the above PFS descriptions, only a few minor details in terms of generated parameters and/or the addition of supplemental data distinguish these CEOS ARD products. In part, they are to a large extent all backward-compatible. For example, [POL] products implicitly include [NRB] products, while a coastal [NRB] or [POL] product can simply be made compatible with other [ORB] products by applying gamma-to-sigma conversion. Just as [GSLC] can be converted to [NRB], the inverse conversion can be made true by including the optional topographic flatten phase. In this way a [NRB] or [POL] product can be used like a [GSLC] for InSAR applications. Consequently, it becomes obvious that they should all need to follow a common approach, in terms of content and structure, in order to optimize their interoperability.

For this generic *CEOS ARD for Synthetic Aperture Radar* PFS, as for the individual **[NRB]**, **[POL]**, **[ORB]**, and **[GSLC]** PFSs, metadata requirements are defined under two categories: Threshold and Goal. Threshold requirements refers to metadata parameters or data files which are mandatorily required in a product in order to be CEOS ARD compliant. **Goal requirements** are complementary metadata parameters or data files that are desirable or more accurate but more constraining/challenging to achieve depending on the SAR missions and the data provider constraint. Since this document integrates four CEOS ARD PFSs, it is worth noting that some requirements have been "relaxed" for a few Threshold parameters, depending on the target applications/environment of a CEOS ARD product. Exceptions are identified in the tables by specifying the usage.

Notice and Limitations [POL]

For Polarimetric Radar **[POL]** products, optimal incoherent Polarimetric Radar Decomposition **[PRD]** should be performed under the slant range projection (Gens et al., 2013, Toutin et al., 2013). In order to minimise bias in the CEOS ARD-SAR Level-2a covariance matrix product, speckle filtering and averaging of the covariance matrix should be applied in the slant range projection, and geocoding should be performed using nearest-neighbour resampling. Specifically, nearest-neighbour resampling ensures that the averaged covariance matrix elements in slant range and in geocoded ground projection are exactly the same. Consequently, the polarimetrically derived parameters are exactly equal in both approaches (assuming that no further averaging is performed on the ARD product for decomposing the polarimetric information). Bilinear and average resampling methods are also suitable for resampling the covariance matrix, but some differences with polarimetric parameters generated in slant range and then resampled (bilinear) might be observed on sloped terrains. Even if the Sinc method is more robust for spatial resampling, it does not preserve covariance matrix integrity, and should consequently not be used for this ARD product.

It is recommended that ARD providers who desire to distribute **[PRD]** products decompose the polarimetric information starting from Level-1 SLC data and then geocode the derived parameters rather than use the **[CovMat]** ARD product. Resampling can be performed using any of the supported methods (nearest-neighbour, bilinear, average, bi-cubic spline or Lanczos are recommended), which need to be indicated in the product metadata. Note that coherent decomposition techniques cannot be performed on **[CovMat]** ARD products.

Covariance matrix products contain a variable number of layers (or bands) with different data types depending on the polarimetric mode (full or dual) and decomposition technique. The **[CovMat]** products for the C2 matrix have 3 layers (2 real-valued diagonal elements and 1 complex-valued off-diagonal element). **[CovMat]** products for the C3 matrix have 6 layers (3 real-valued diagonal elements and 3 complex-valued off-diagonal elements). Layers that can be obtained via a complex conjugation of other layers are not provided within the product. Polarimetric Decomposition products contain typically 2 to 4 (or more) real-valued layers depending on the particular decomposition algorithm. Within the **[CovMat]** product files, ARD layers are organized in order to reduce access delays and maximize efficiency in extracting the desired information. In **[CovMat]** products, geographically contiguous samples for each layer may be stored next to each other and organized "layer by layer". Alternatively, samples belonging to the same covariance matrix might be stored next to each other and organized "layer by layer", i.e., with bands corresponding to the output of the polarimetric decomposition stored next to each other.

Definitions

| CEOS ARD | Committee on Earth Observation Satellites - Analysis Ready Data |
|------------------------------|--|
| NRB | Normalised Radar Backscatter |
| RTC | Radiometrically Terrain Corrected |
| POL | Polarimetric Radar |
| CovMat | Normalised Radar Covariance Matrix |
| PRD | Polarimetric Radar Decomposition |
| GSLC | Geocoded Single-Look Complex |
| InSAR | Interferometric Radar |
| Ancillary Data | Data other than instrument measurements, originating in the instrument itself or from the satellite, required to perform processing of the data. They include orbit data, attitude data, time information, spacecraft engineering data, calibration data, data quality information, and data from other instruments. |
| Auxiliary Data | The data required for instrument processing, which does not originate in the instrument itself or from the satellite. Some auxiliary data will be generated in the ground segment, whilst other data will be provided from external sources. |
| Metadata | Structured information that describes other information or information services. With well-defined metadata, users should be able to get basic information about data, without a need to have knowledge about its entire content. |
| Spatial Resolution | The smallest size objects that can be distinguished by the sensor at the ground surface. |
| Pixel Spacing | The processed sample distance. |
| Spatial Sampling Distance | Spatial sampling distance is the great circle distance on the reference surface distance between adjacent spatial samples on the Earth's surface. |
| DOI | Digital Object Identifier |

Requirements

General Metadata

These are metadata records describing a distributed collection of pixels. The collection of pixels referred to must be contiguous in space and time. General metadata should allow the user to assess the <u>overall</u> suitability of the dataset, and must meet the requirements listed below. The column "CEOS ARD product" indicates to which CEOS ARD SAR product (NRB, POL, ORB, GSLC) the parameter refers.

| # | Parameter | CEOS ARD product | Requirements | Self Assessment |
|-----|------------------------------------|-----------------------------------|--|--|
| 1.1 | Traceability | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required.Goal (Desired) Requirements Data must be traceable to SI reference standard.Note 1: Relationship to 3.5. Traceability requires an estimate of measurement uncertainty. Note 2: Information on traceability should be available in the metadata as a single DOI landing page. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.2 | Metadata Machine Readability | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsMetadata is provided in a structure that enables a computer algorithm to be used consistently and to automatically identify and extract each component part for further use.Goal (Desired) Requirements As threshold, but metadata is formatted in accordance with CEOS ARD SAR Metadata Specifications, v.1.0, or in a community endorsed standard that facilitates machine-readability, such as ISO 19115-2, Climate and Forecast (CF) convention and the Attribute Convention for Data Discovery (ACDD), etc. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.3 | Product Type | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsCEOS ARD product type name and copyright (if copyright is required by the data provider).Product type name should be NRB, POL, ORB and/or GSLC.Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-----|----------------------------|-----------------------------------|--|--|
| 1.4 | Document Identifier | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsReference to CEOS ARD Synthetic Aperture Radar document as URL or DOI.Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.5 | Data Collection Time | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsNumber of source data acquisitions of the data collection is identified. The startand stop UTC time of data collection is identified in the metadata, expressed indate/time. In case of composite products, the dates/times of the first and last datatakes and the per-pixel metadata "2.8 Acquisition ID Image" is provided with theproduct.Goal (Desired) RequirementsAs Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.6 | Source Data Attributes | | Subsection describing (detailing) <u>each SAR acquisition</u> used to generate the ARD product. Note: Source data attribute information are described for each acquisition and sequentially identified as acqID= 1, 2, 3, | |

| 1.6.1 | Source Data Access | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsThe metadata identifies the location from where the source data can be retrieved, expressed as a URL or DOI.Goal (Desired) RequirementsThe metadata identifies an online location from where the data can be consistently and reliably retrieved by a computer algorithm without any manual intervention being required. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-------|--|-----------------------------------|---|--|
| 1.6.2 | Instrument | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements The instrument used to collect the data is identified in the metadata: - Satellite name - Instrument name Goal (Desired) Requirements As Threshold, but including a reference to the relevant CEOS Missions, Instruments and Measurements Database record. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.6.3 | Source Data Acquisition Time | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsThe start date and time of source data is identified in the metadata, expressed in UTC in date and time, at least to the second.Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.6.4 | Source Data Acquisition Parameters | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsAcquisition parameters related to the SAR antenna:-Radar band-Centre frequency-Observation mode (i.e., Beam mode name)-Polarization(s) (listed as in original product)-Antenna pointing [Right/Left]-Beam ID (i.e., Beam mode Mnemonic)Goal (Desired) RequirementsAs Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.6.5 | Source Data Orbit Information | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Information related to the platform orbit used for data processing: - Pass direction [asc/desc) * - Orbit data source [e.g., predicted/definite/ precise/ downlinked, etc.] * For source data crossing the North or South Pole, it is recommended to produce two distinct CEOS ARD products and to use the appropriate "Pass direction" in each. Goal (Desired) Requirements As threshold, including also: - Platform heading angle expressed in degrees [0 360] from North - Orbit data file containing state vectors (minimum of 5 state vectors, from 10% of scene length <i>before</i> start time to 10% of scene length <i>after</i> stop time) - Platform (mean) altitude. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-------|---|-----------------------------------|---|--|
| 1.6.6 | Source Data Processing Parameters | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsProcessing parameters details of the source data:-Processing facility-Processing date-Software version-Product level-Product ID (file name)-Azimuth number of looks-Range number of looks (separate values for each beam, as necessary)Goal (Desired) RequirementsAs Threshold, plus additional relevant processing parameters, e.g., range- and azimuth look bandwidth and LUT applied. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.6.7 | Source Data Image Attributes | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsImage attributes related to the source data:-Source Data geometry (slant range/ground range)< | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|--------|------------------------------------|-----------------------------------|---|--|
| 1.6.8 | Sensor Calibration | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Sensor calibration parameters are identified in the metadata or can be accessed using details included in the metadata. Ideally this would support machine to machine access. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.6.9 | Performance Indicators | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsProvide performance indicators on data intensity noise level (NEσ ⁰ and/or NEβ ⁰ and/or NEγ ⁰ (noise equivalent sigma and/or beta and/or gamma-nought)).Provided for each polarization channel when available.Parameter may be expressed as the mean and/or minimum and maximum noiseequivalent values of the source data.Values do not need to be estimated individually for each product, but may beestimated once for each acquisition mode, and annotated on all products.Goal (Desired) RequirementsProvide additional relevant performance indicators (e.g., ENL, PSLR, ISLR, andperformance reference DOI or URL). | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.6.10 | Source Data Polarimetric | [NRB] [POL] | <u>Threshold (Minimum) Requirements</u> Not required. | Achieved level: Threshold / Goal |

| | Calibration Matrices | [ORB] [GSLC] | <u>Goal (Desired)</u> Requirements The complex-valued polarimetric distortion matrices with the channel imbalance and the cross-talk applied for the polarimetric calibration. | Explanation / Justification: Other feedback on requirements: |
|--------|--------------------------------------|-----------------------------------|---|--|
| 1.6.11 | Mean Faraday Rotation Angle | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsNot required.Goal (Desired) RequirementsThe mean Faraday rotation angle estimated from the polarimetric data and/orfrom models with reference to the method or paper used to derive the estimate. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.6.12 | lonosphere Indicator | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Flag indicating whether the backscatter imagery is "significantly impacted" by the ionosphere (0 – false, 1 – true). Significant impact would imply that the ionospheric impact on the backscatter exceeds the radiometric calibration requirement or goal for the imagery. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.7 | CEOS ARD Product Attributes | | Subsection containing information related to the CEOS ARD product generation procedure and geographic parameters. | |
| 1.7.1 | Product Data Access | [NRB] [POL] [ORB] [GSLC] | <u>Threshold (Minimum) Requirements</u> Processing parameters details of the CEOS ARD product: Processing facility Processing date Software version Location from where the CEOS ARD product can be retrieved, expressed as a URL or DOI. <u>Goal (Desired) Requirements</u> The metadata identifies an online location from where the data can be consistently and reliably retrieved by a computer algorithm without any manual intervention being required. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| | | | <u>Threshold (Minimum) Requirements</u> Not required. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> |
|-------|--|---|--|--|
| 1.7.2 | Auxiliary Data [NRB] [POL] [ORB] [GSLC] | <u>Goal (Desired) Requirements</u> The metadata identifies the sources of ancillary data used in the generation process, ideally expressed as DOIs. <i>Note: Ancillary data includes DEMs, etc., and any additional data sources used in</i> <i>the generation of the product.</i> | Other feedback on requirements: | |
| 1.7.3 | Product Sample Spacing | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements CEOS ARD product processing parameters details: - Pixel (column) spacing - Line (row) spacing Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.7.4 | Product Equivalent Number of Looks | [NRB] [POL] [ORB] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Equivalent Number of Looks (ENL) | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.7.5 | Product Resolution | [NRB] [POL] [ORB] [GSLC] | <u>Threshold (Minimum) Requirements</u> Not required. <u>Goal (Desired) Requirements</u> Average spatial resolution of the CEOS ARD product along: - Columns - Rows | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.7.6 | Product Filtering | [NRB] [POL] [ORB] | Threshold (Minimum) RequirementsFlag if speckle filter has been applied [true/false].Metadata should include:- Reference to algorithm as DOI or URL- Input filtering parameters- Type- Window size in pixel units- Any other parameters defining the speckle filter usedMandatory for [POL]: Advanced polarimetric filter preserving covariance matrix properties should be applied.Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-------|-----------------------------------|-----------------------------------|--|--|
| 1.7.7 | Product Bounding Box | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsTwo opposite corners of the product file (bounding box, including any zero-fill values) are identified, expressed in the coordinate reference system defined in 1.7.11.Four corners of the product file are recommended for scenes crossing the International Date Line, or the North or the South Pole.Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.7.8 | Product Geographical Extent | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements The geometry of the SAR image footprint expressed in WGS84, in a standardised format (e.g., WKT Polygon). Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.7.9 | Product Image Size | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Image attributes of the CEOS ARD product: - Number of lines - Number of pixels/lines - File header size (if applicable) - Number of no-data border pixels (if appl.) Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|--------|--|-----------------------------------|--|--|
| 1.7.10 | Product Pixel Coordinate Convention | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsCoordinate referring to the Centre, or the Upper Left Corner or the Lower LeftCorner of a pixel. Values are [pixel centre, pixel ULC or pixel LLC].Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 1.7.11 | Product Coordinate Reference System | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsThe metadata lists the map projection (or geographical coordinates, if applicable)that was used and any relevant parameters required to geolocate data in that mapprojection, expressed in a standardised format (e.g., WKT).Indicate EPSG code, if defined for the CRS.Goal (Desired) RequirementsAs Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.7.12 | Look Direction Polynomials | [ORB] | Threshold (Minimum) Requirements In case the per-pixel item 2.11 (Look Direction Image) is not provided, then a list of the polynomial coefficients a necessary to reconstruct the look direction angle*, together with an estimate of the added error from use of polynomial vs. per-pixel more accurate values, shall be provided. Example polynomial: LookDir = a1Lat² + a2Lon² + a3LatLon + a4Lat + a5Lon + a6 where: ai = polynomial coefficients Lat = latitude Lon = longitude Lat and Lon are the related coordinates in the product map units ['m', 'deg', 'arcsec'] * The look direction angle represents the planar angle between north and each range direction. It is not constant in range, especially close to the poles. Goal (Desired) Requirements As Threshold. | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |
|--------|----------------------------------|--------|--|--|
| 1.7.13 | Radar Unit Look Vector | [GSLC] | Threshold (Minimum) Requirements3-D components radar unit look vector, specified at centre of scene, in a Earth-Centred Earth-Fixed (ECEF) coordinate system (also called Earth Centered Rotating- ECR) is provided. It consists of unit vectors from antenna to surface pixel (i.e. positive Z component).Only required If Per-Pixel metadata 2.12 (Radar Unit Look Vector Grid Image) is not providedGoal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 1.7.14 | Slant Range Sensor to Surface | [GSLC] | Threshold (Minimum) Requirements Slant range distance from the sensor to the surface, specified at centre of scene Only required If Per-Pixel metadata 2.13 (Slant Range Sensor to Surface Image) is not provided Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|--------|---------------------------------------|--------------------------|---|--|
| 1.7.15 | Absolute Reference Orbit Number | [NRB] [POL] [GSLC] | Threshold (Minimum) Requirements Not required.Goal (Desired) Requirements Usage: For [NRB] & [POL] only when 3.7 Flattened phase is provided. For [GSLC] when a reference orbit is used instead of a virtual orbit (see Annex A 1.2).Provide the absolute orbit number used as reference for topographic phase flattening. In case a virtual orbit has been used, provide orbit parameters or orbit state vectors as DOI or URL.Provide scene-centred perpendicular baseline for the for the source data relative to the reference orbit used (for approximate use only). | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

Per-Pixel Metadata

The following minimum metadata specifications apply to each pixel. Whether the metadata are provided in a single record relevant to all pixels or separately for each pixel is at the discretion of the data provider. Per-pixel metadata should allow users to discriminate between (choose) observations on the basis of their individual suitability for applications. Cloud optimized file formats are recommended.

The column "CEOS ARD product" indicates which CEOS ARD SAR product(s) (NRB, POL, ORB, GSLC) the parameter refers to.

| # | Parameter | CEOS ARD product | Requirements | Self Assessment |
|-----|------------------------------------|-----------------------------------|---|--|
| 2.1 | Metadata Machine Readability | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsMetadata is provided in a structure that enables a computer algorithm to be used to consistently and automatically identify and extract each component/variable/layer for further use.Goal (Desired) Requirements As Threshold, but metadata is formatted in accordance with CEOS ARD SAR Metadata Specifications, v.1.0. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 2.2 | Data Mask Image | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Mask image indicating: - Valid data - Invalid data - Invalid data - No data File format specifications/ contents provided in metadata: - Sample Type [Mask] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Int,] - Bits per Sample - Byte Order - Bit Value Representation | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| | | | Goal (Desired) RequirementsAs threshold, including additional bit value representations, e.g.:-Layover (masked as invalid data in Threshold)-Radar shadow (masked as invalid data in Threshold)-Ocean water, etcLand (recommended for [ORB])-RTC applied (for Maritime scenes with land samples for which RTC is applied)-DEM gap filling (i.e., interpolated DEM over gaps) | |
|-----|--------------------------|-----------------------------------|---|--|
| 2.3 | Scattering Area Image | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required.Goal (Desired) Requirements Usage: Recommended for scenes that include land areas.DEM-based scattering area image used for Gamma-Nought terrain normalisation is provided. This quantifies the local scattering area used to normalise for radiometric distortions induced by terrain to the measured β^0 backscatter. The terrain-flattened γ^0_T is best understood as β^0 divided by the local scattering area.File format specifications/ contents provided in metadata: - Sample Type [Scattering Area] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Int/Float,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 2.4 | Local Incident Angle Image | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsDEM-based Local Incident angle image is provided.File format specifications/ contents provided in metadata:- Sample Type [Angle]- Data Format [Raw/GeoTIFF/NetCDF,]- Data Type [Int/Float,]- Bits per Sample- Byte OrderNote: For maritime [ORB] scenes when no land areas are covered, a geoid modelcould be used for the calculation of the local incident angleGoal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-----|--|-----------------------------------|--|--|
| 2.5 | Ellipsoidal Incident Angle Image | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Ellipsoidal incident angle is provided. File format specifications/ contents provided in metadata: Sample Type [Angle] Data Format [Raw/GeoTIFF/NetCDF,] Data Type [Int/Float,] Bits per Sample Byte Order Reference Ellipsoid Name Note: For maritime [ORB] scenes when no land areas are covered, the ellipsoidal incident angle is nearly identical to the geoid based local incident angle. | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |

| 2.6 | Noise Power Image | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Estimated noise equivalent σ° (or β° or γ°, as applicable) used for Noise Removal, if applied, for each channel. NESZ and NEGZ are both based on a simplified ellipsoid Earth model. File format specifications/ contents provided in metadata: - Sample Type [Gamma-Nought, Sigma-Nought, Beta-Nought] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Int/Float,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-----|-----------------------------------|-----------------------------------|--|--|
| 2.7 | Gamma-to- Sigma Ratio Image | [NRB] [POL] [GSLC] | Threshold (Minimum) Requirements Not required.Goal (Desired) RequirementsRatio of the integrated area in the Gamma projection over the integrated area in the Sigma projection (ground). Multiplying RTC γ_T^0 by this ratio results in an estimate of RTC σ_T^0 File format specifications/ contents provided in metadata: - Sample Type [Ratio] - Data Format [Raw/GeoTIFF/NetCDF,]Data Type [Int/Float,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 2.8 | Acquisition ID Image | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Note: Required for multi-source product only.Acquisition ID, or acquisition date, for each pixel is identified.In case of multi-temporal image stacks, use source acquisition ID (i.e., 1.6 acqID values) to list contributing images.In case of Date, data represent (integer or fractional) day offset to reference observation date [UTC]. Date used as reference ("Day 0") is provided in the metadata.Pixels not representing a unique date (e.g., pixels averaged in image overlap zones) are flagged with a pre-set pixel value that is provided in the metadata.File format specifications/ contents provided in metadata: <th>Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements:</th> | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |
|-----|-------------------------|-----------------------------------|---|---|
|-----|-------------------------|-----------------------------------|---|---|

| 2.9 | Per-pixel DEM | [NRB] [POL] [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Provide DEM or DSM as used during the geometric and radiometric processing of the SAR data, resampled to an exact geometric match in extent and resolution with the CEOS ARD SAR image product. Can also be provided with [ORB] products containing land areas. File format specifications/ contents provided in metadata: - Sample Type [Height] - Data Format [Raw/GeoTIFF/NetCDF,] | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |
|------|--------------------|--------------------------|--|---|
| | | | Data Type [Int/Float,] Bits per Sample Byte Order Threshold (Minimum) Requirements | Achieved level: Threshold / Goal |
| | | | Not required. <u>Goal (Desired) Requirements</u> | Explanation / Justification: Other feedback on requirements: |
| 2.10 | Per-pixel Geoid | [ORB] | Provide Geoid as used during the geometric and radiometric processing of the SAR data, resampled to an exact geometric match in extent and resolution with the CEOS ARD ORB image product. File format specifications/ contents provided in metadata: Sample Type [Height] Data Format [Raw/GeoTIFF/NetCDF,] Data Type [Int/Float,] Bits per Sample Byte Order | |

| 2.11 | Look Direction Image | [ORB] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Look Direction Image is provided. It represents the planar angle between north and each range direction. File format specifications/ contents provided in metadata: - Sample Type [Angle] - Data Format [Raw/GeoTIFF/NetCDF,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|------|---|--------|---|--|
| 2.12 | Radar Unit Look Vector Grid Image | [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements 3-D components radar unit look vector, specified at each pixel in a Earth-Centred Earth-Fixed (ECEF) coordinate system (also called Earth Centered Rotating - ECR) is provided. It consists of unit vectors from the antenna to the surface pixel (i.e. positive Z component). File format specifications/ contents provided in metadata: - Sample Type [3D unit vector] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Float,] - Bits per Sample - Byte Order | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |

| 2.13 | Slant Range Sensor to Surface Image | [GSLC] | Threshold (Minimum) Requirements Not required. Goal (Desired) Requirements Slant range distance from the sensor to the surface, specified at each pixel in a Earth-Centred Earth-Fixed (ECEF) coordinate system (also called Earth Centred Rotating - ECR) is provided. File format specifications/ contents provided in metadata: - Sample Type [Distance] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Float,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|------|---|--------|---|--|
| 2.14 | InSAR Phase Uncertainty Image | [GSLC] | Threshold (Minimum) Requirements Not required.Goal (Desired)! Requirements Estimate of uncertainty in InSAR phase is provided, such as finite signal to noise ratio, quantization noise, or DEM error. Identification of which error sources are included will be provided as DOI/URL reference or brief description. It represents statistical variation from known noise sources only.File format specifications/ contents provided in metadata: - Sample Type [Angle] - Data Format [Raw/GeoTIFF/NetCDF,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 2.15 | Atmospheric Phase Correction Image | [GSLC] | Threshold (Minimum) Requirements Not requiredGoal (Desired) Requirements Phase correction value at each pixel, if applied. DOI/URL reference to algorithm or brief description is provided.File format specifications/ contents provided in metadata: - Sample Type [Angle] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Float,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|------|---|--------|---|--|
| 2.16 | lonospheric Phase Correction Image | [GSLC] | Threshold (Minimum) Requirements Not required Goal (Desired) Requirements Phase correction value at each pixel, if applied. DOI/URL reference to algorithm or brief description is provided. File format specifications/ contents provided in metadata: - Sample Type [Angle] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Float,] - Bits per Sample - Byte Order | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

Radiometrically Corrected Measurements

The requirements indicate the necessary outcomes and, to some degree, the minimum steps necessary to be deemed to have achieved those outcomes. Radiometric corrections must lead to normalised measurement(s) of backscatter intensity and/or decomposed polarimetric parameters. As for the per-pixel metadata, information regarding data format specification needs to be provided for each record. The requirements below must be met for all pixels/samples/observations in a collection. Cloud optimized file formats are recommended.

The column "CEOS ARD product" indicates which CEOS ARD SAR product (NRB, POL, ORB, GSLC) the parameter refers to.

| # | Parameter | CEOS ARD product | Requirements | Self Assessment |
|-----|-----------------------------|---------------------|--|---|
| 3.1 | Backscatter Measurements | [NRB] | Threshold (Minimum) Requirements [NRB] "Terrain-flattened" Radiometrically Terrain Corrected (RTC) Gamma- Nought backscatter coefficient (γ ⁰ / _T) is provided for each polarization. File format specifications/contents provided in metadata: - Measurement Type [Gamma-Nought] - Backscatter Expression Convention [linear amplitude or linear power*] - Polarization [HH/HV/VV/VH] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Int/Float,] - Bits per Sample - Byte Order *Note: transformation to the logarithm decibel scale is not required or desired as this step can be completed by the user if necessary. Goal (Desired) Requirements | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |

| 3.1 | Backscatter Measurements | [POL] | Threshold (Minimum) Requirements [POL]Measurements can be:Normalised Radar Covariance Matrix (CovMat)Diagonal (equivalent to [NRB]) and upper diagonal elements of the terrain- flattened_Gamma-Nought (γ_T^0) Covariance Matrix are provided for coherent dual (e.g., HH-HV, VV-VH, or) and fully polarimetric (e.g., HH- HV-VH-VV) acquisitions.And/orPolarimetric Radar Decomposition (PRD)The individual components of the polarimetric decomposition obtained | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |
|-----|-----------------------------|-------|--|---|
| | | | As Threshold. | |

| 3.1 | Backscatter Measurements | [ORB] | Threshold (Minimum) Requirements [ORB] Geoid-corrected Sigma-Nought backscatter coefficient (σ ⁰) is provided for each polarization. File format specifications/contents provided in metadata: - Measurement Type [Sigma-Nought] - Backscatter Expression Convention [linear amplitude or linear power*] - Backscatter Conversion Equation - Polarization [HH/HV/VV/VH] - Data Format [Raw/GeoTIFF/NetCDF,] - Data Type [Int/Float,] - Bits per Sample - Byte Order | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |
|-----|-----------------------------|-------|---|---|
| | | | Goal (Desired) RequirementsRadiometrically Terrain-corrected Sigma-Nought backscatter coefficient (σ_T^0) is provided for each polarization. | |

| 3.1 | Backscatter Measurements | [GSLC] | Threshold (Minimum) Requirements Radiometric and Phase Terrain-flattened Gamma-Nought backscatter coefficient (γ_T^0), in complex number format, is provided for each polarization (e.g., HH, HV, VV, VH). File format specifications/contents provided in metadata: - Measurement Type [Gamma-Nought] - Backscatter Expression Convention [linear amplitude or linear power*] - Polarization [HH/HV/VV/VH] - Data Format [Raw/GeoTIFF/NetCDF,] - Bits per Sample - Byte Order *Note: transformation to the logarithm decibel scale is not required or desired as this step can be easily completed by the user if necessary. Goal (Desired) Requirements | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |
|-----|-----------------------------|-----------------------------------|--|--|
| 3.2 | Scaling Conversion | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsIf applicable, indicate the equation to convert pixel linear amplitude/power to logarithmic decibel scale, including, if applicable, the associated calibration (dB offset) factor, and/or the equation used to convert compressed data (int8/int16/float16) to float32.Goal (Desired) Requirements As Threshold, but use of float32. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| 3.3 | Noise Removal | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsFlag if noise removal* has been applied (Y/N). Metadata should include the noise removal algorithm and reference to the algorithm as URL or DOI.*Note: Thermal noise removal and image border noise removal to remove overall scene noise and scene edge artefacts, respectively.Goal (Desired) Requirements As Threshold. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-----|---|-----------------------------------|--|--|
| 3.4 | Radiometric Terrain Correction Algorithm | [NRB] [POL] [GSLC] | Threshold (Minimum) RequirementsAdjustments were made for terrain by modelling the local contributing scattering area using the preferred choice of a published peer-reviewed algorithm to produce radiometrically terrain corrected (RTC) γ_T^g backscatter estimates.Metadata references, e.g.:- a citable peer-reviewed algorithm- technical documentation regarding the algorithm used to generate the backscatter estimates is expressed as URLs or DOIs- the sources of ancillary data used to make correctionsGoal for [GSLC] product typeNote: Examples of technical documentation include an Algorithm, Theoretical Basis Document, product user guide, etc.Goal for [GSLC] product typeRequire resolution of DEM better than the output product resolution when applying terrain corrections. | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |

| 3.5 | Radiometric Accuracy | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required Goal (Desired) Requirements Uncertainty (e.g., bounds on γ° or σ°) information is provided as document referenced as URL or DOI. SI traceability is achieved. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-----|---|-----------------------------------|--|--|
| 3.6 | Mean Wind- Normalised Backscatter Measurements | [ORB] | Threshold (Minimum) Requirements Not required Goal (Desired) Requirements Usage: Only for Maritime scene Mean wind-normalised (over ocean) backscatter coefficient is provided for each available polarization. It is calculated as the ratio between the backscatter intensity and a simulated backscatter intensity image generated using an ocean surface wind model such as, e.g., Quilfen et al. (1998) or Vachon and Dobson (2000) for VV and HH polarization respectively. File format specifications/contents provided in metadata: - Measurement Type [Wind-Normalised Backscatter] Backscatter Expression Convention [intensity ratio] - Polarization [HH/HV/VV/VH] - Data Format [GeoTIFF/NetCDF,] - Bits per Sample - Byte Order Note: Reference wind model, wind speed and direction used for reference backscattering coefficient should be provided. | Explanation / Justification: Other feedback on requirements: |

| | | | Threshold (Minimum) Requirements Not required | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> |
|-----|--------------------|----------------|---|--|
| 3.7 | Flattened Phase | [NRB] [POL] | Goal (Desired) RequirementsUsage: Alternative to [GSLC] product for [NRB] and [POL] productsFlattened SAR phase is derived from the range-Doppler SLC product using a DEM and the orbital state vectors with respect to a reference orbit (see Annex A1.2). The use of the Flattened SAR phase with the [NRB] or [POL] intensity (3.1 Backscatter measurement) provides the [GSLC] equivalent, as follows: GSLC = sqrt(NRB) x exp(j FlattenPhase)File format specifications/contents provided in metadata:-Measurement Type [Flattened Phase]-Reference Polarization [HH/HV/VV/VH]-Data Format [GeoTIFF/NetCDF,]-Bits per Sample-Byte OrderIn case of polarimetric data, indicate the reference polarization. | Other feedback on requirements: |

Geometric Corrections

Geometric corrections are steps that are taken to place the measurement accurately on the surface of the Earth (that is, to geolocate the measurement) allowing measurements taken through time to be compared. This section specifies any geometric correction requirements that must be met in order for the data to be analysis ready.

The column "CEOS ARD product" indicates to which CEOS ARD SAR product (NRB, POL, ORB, GSLC) the parameter refers.

| # | Parameter | CEOS ARD product | Requirements | Self Assessment |
|-----|--------------------------------------|-----------------------------------|---|--|
| 4.1 | Geometric Correction Algorithm | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not required Goal (Desired) Requirements Metadata references, e.g.: - A metadata citable peer-reviewed algorithm, - Technical documentation regarding the implementation of that algorithm expressed as URLs or DOIs - The sources of ancillary data used to make corrections. - Resampling method used for geometric processing of the source data. Note: Examples of technical documentation can include e.g., an Algorithm Theoretical Basis Document (ATBD), a product user guide. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
| 4.2 | Digital Elevation Model | [POL] [ORB] | Threshold (Minimum) Requirements Usage: For products including land areas a) During ortho-rectification, the data provider shall use the same DEM that was used for the radiometric terrain flattening to ensure consistency of the data stack. b) Provide reference to Digital Elevation Model used for geometric terrain correction. c) Provide reference to Earth Gravitational Model (EGM) used for geometric correction | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |

| | | | <u>Goal (Desired) Requirements</u> a) A DEM with comparable or better resolution to the resolution of the output CEOS ARD product shall be used if available. Else, the upsampled DEM is identified. b) Resampling method used for preparation of the DEM. c) Method used for resampling the EGM. | |
|-----|-----------------------|-----------------------------------|--|---|
| 4.3 | Geometric Accuracy | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) RequirementsAccurate geolocation is a prerequisite to radar processing to correct for terrain and to enable interoperability between radar sensors.The absolute geolocation error (ALE) for a sensor is typically assessed through analysis of Single Look Complex (SLC) imagery and measured along the slant range and azimuth directions (case A: SLC ALE). The end-to-end "ARD" ALE of the final CARD product could be measured directly in the final image product in the chosen map projection, i.e. in the map coordinate directions: e.g. Northing and Easting (case B: ARD ALE). Providing accuracy estimates based on measurements following at least one scheme (A or B or both) meets the threshold requirement.Estimates of the ALE is provided as a bias and a standard deviation, with (Case A) SLC ALE expressed in slant range and azimuth, and (Case B) ARD ALE expressed in map projection dimensions.Note 1: This assessment is often made through comparison of measured corner reflector positions with their projected location in the imagery. In some cases, other mission calibration/validation results may be used.Note 2: The ALE is not typically assessed for every processed image, but through | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |

| 4.4 | Geometric Refined Accuracy | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements Not requiredGoal (Desired) Requirements Values provided under 4.3 Geometric accuracy are provided by the SAR mission Cal/Val team.CEOS ARD processing steps could include method refining the geometric accuracy, such as cross-correlation of the SAR data in slant range with a SAR scene simulated from a DSM or DEM.Methodology used (name and reference), quality flag, geometric standard deviation values should be provided. | <u>Achieved level:</u> Threshold / Goal <u>Explanation / Justification:</u> <u>Other feedback on requirements:</u> |
|-----|----------------------------------|-----------------------------------|---|--|
| 4.5 | Gridding Convention | [NRB] [POL] [ORB] [GSLC] | Threshold (Minimum) Requirements A consistent gridding/sampling frame is used. The origin is chosen to minimise any need for subsequent resampling between multiple products (be they from the same or different providers). This is typically accomplished via a "snap to grid" in relation to the most proximate grid tile in a global system.* * If a product hierarchy of resolutions exists (or is planned), the multiple resolutions should nest within each other (e.g., 12.5m, 25m, 50m, 100m, etc.), and not be disjoint. Goal (Desired) Requirements Provide DOI or URL to gridding convention used. When multiple providers share a common map projection, providers are encouraged to standardise the origins of their products among each other. In the case of UTM/UPS coordinates, the upper left corner coordinates should be set to an integer multiple of sample intervals from a 100 km by 100 km grid tile of the Military Grid Reference System's 100k coordinates ("snap to grid"). For products presented in geographic coordinates (latitude and longitude), the origin should be set to an integer multiple of samples in relation to the closest integer degree. | Achieved level: Threshold / Goal Explanation / Justification: Other feedback on requirements: |

Self-Assessment Summary Table

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| | | |

Guidance

This section aims to provide background and specific information on the processing steps that can be used to achieve analysis ready data for a specific and well-developed Product Family Specification. This Guidance material does not replace or override the specifications.

Introduction to CEOS ARD

What is CEOS Analysis Ready Data?

CEOS ARD are products that have been processed to a minimum set of requirements and organized into a form that allows immediate analysis with a minimum of additional user effort. In general, these products would be resampled onto a common geometric grid (for a given product) and would provide baseline data for further interoperability both through time and with other datasets.

CEOS ARD products are intended to be flexible and accessible products suitable for a wide range of users for a wide variety of applications, including particularly time series analysis and multi-sensor application development. They are also intended to support rapid ingestion and exploitation via high-performance computing, cloud computing and other future data architectures. They may not be suitable for all purposes and are not intended as a 'replacement' for other types of satellite products.

When can a product be called CEOS ARD?

The CEOS ARD branding is applied to a particular product once:

- that product has been assessed as meeting CEOS ARD requirements by the agency responsible for production and distribution of the product, and
- that the assessment has been peer reviewed by the relevant CEOS team(s).

Agencies or other entities considering undertaking an assessment process should consult the CEOS ARD <u>Governance Framework</u>.

A product can continue to use CEOS ARD branding as long as its generation and distribution remain consistent with the peer-reviewed assessment.

What is the difference between Threshold and Goal?

Products that meet all threshold requirements should be immediately useful for scientific analysis or decision-making.

Products that meet goal requirements will reduce the overall product uncertainties and enhance broad-scale applications. For example, the products may enhance interoperability or provide increased accuracy through additional corrections that are not reasonable at the *threshold* level.

Goal requirements anticipate continuous improvement of methods and evolution of community expectations, which are both normal and inevitable in a developing field. Over time, *goal* specifications may (and subject to due process) become accepted As Threshold requirements.

Threshold (Minimum) Requirements

Threshold requirements are the MINIMUM that is needed for the data to be analysis ready. This must be practical and accepted by the data producers

Goal (Desired) Requirements

Goal requirements are the ideal; where we would like to be. Some providers may be able to meet these already.

Reference Papers [CEOS ARD for SAR]

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Annex 1

A1.1: General Processing Roadmap

The radiometric interoperability of CEOS ARD SAR products is ensured by a common processing chain during production. The recommended processing roadmap involves the following steps:

- Apply the best possible orbit parameters to give the most accurate product possible. These will have been projected to an ellipsoidal model such as WGS84. In order to achieve the level of geometric accuracy required for the DEM-based correction, precise orbit determination will be required.
- Apply instrument calibration to produce Beta-Nought values with high fidelity.
- Convert Single-Look-Complex (SLC) radiometric channel(s) to intensity [NRB], [ORB] and [POL] and in addition for [POL], the cross-product element(s) of the covariance as shown in Annex 2.1.
- Perform radiometric terrain correction (gamma backscatter convention terrain-flattening) on the covariance matrix by applying the local surface normalisation factor to each backscatter measurement element (Small, 2011, Shiroma et al., 2022).
- Perform polarimetric speckle filtering (optional for **[NRB]** and **[ORB]**), before geocoding, in order to optimally preserve the polarimetric information. Most popular polarimetric decomposition methodologies are incoherent in nature, which requires averaging the covariance matrix for stationarity. Depending on the application, a polarimetric filter that preserves local point targets and locally average extended targets may be used, e.g., Sigma Lee filter with 7x7 window and 3-point target (Lee et al., 2009). Multi-looking could be performed to meet optimal output sample spacing before the geometric correction step. No speckle filtering or multi-looking is performed for **[GSLC]** products.
- For [GSLC] products, the topographic phase is estimated relative to a reference orbit and removed from the SLC data (Zebker et al. 2010, Zebker, 2017) (see Annex A1.2)
- Geometric terrain correction (relative to geoid for **[ORB]**) is applied to the normalized backscatter measurement data. For **[POL]**, the resampling methodology should be nearest-neighbour, bilinear or average in order to preserve integrity of the covariance matrix as other resampling functions can introduce artefacts due to the mix of intensity and complex number elements in the matrix. Geocoding to a common grid structure with specified pixel spacings for true data cube format.
- Generate CEOS format metadata to accompany product layers.
- Optionally, a Spatio Temporal Asset Catalog (STAC) file is added to the product.

Table A1 lists possible sequential steps and , existing software tools (e.g. Gamma software (GAMMA, 2018)) and scripting tasks that can be used to form the CEOS ARD SAR processing roadmap.

| Step | Implementation option |
|--|---|
| 1. Orbital data refinement | Check xml date and delivered format. RADARSAT-2, pre EDOT (July 2015) replace. Post July 2015, check if 'DEF', otherwise replace. (Gamma - RSAT2_vec) |
| 2. Apply radiometric scaling Look-Up Table (LUT) to Beta-Nought | Specification of LUT on ingest. (Gamma - par_RSAT2_SLC/SG) |
| 3. Generate covariance matrix elements | Gamma – COV_MATRIX |
| 4. Radiometric terrain normalisation | Gamma - geo_radcal2 |
| 5. Speckle filtering (Boxcar or Sigma Lee) | Custom scripting |
| 6. Geometric terrain correction/Geocoding | Gamma – gc_map and geocode_back |
| 7. Create metadata | Custom scripting |

Table A1 SAR ARD PR processing roadmap and software options. RADARSAT-2 Example

A1.2: Topographic phase removal

InSAR analysis capabilities from CEOS ARD SAR products are enabled with **[GSLC]** products, which is also the case when the Flattened Phase per-pixel data (item 3.7) are included in the **[NRB]** or **[POL]** products. This is made possible since the simulated topographic phase relative to a given reference orbit has been subtracted.

From classical approach with SLC data, interferometric phase $\Delta \varphi_{1-2}$ between two SAR acquisitions is composed of a topographic phase $\Delta \varphi_{Topo_1-2}$, a surface displacement phase $\Delta \varphi_{Disp_1-2}$ and other noise terms $\Delta \varphi_{Noise_1-2}$ [Eq.1]. The topographic phase consists to the difference in geometrical path length from each of the two antenna positions to the point on the SAR image (φ_{DEM_SLC}) and is a function of their orbital baseline distance [Eq.2]. The surface displacement phase is related to the displacement of the surface that occurred in between the two acquisitions. The noise term is the function of the radar signal interaction with the atmosphere and the ionosphere during each acquisition and function of the system noise.

$$\Delta \varphi_{1-2} = \Delta \varphi_{Topo_{-1}-2} + \Delta \varphi_{Disp_{-1}-2} + \Delta \varphi_{Noise_{-1}-2}$$
 Eq. 1

Where

$$\Delta \varphi_{Topo_{1-2}} = \varphi_{DEM_SLC_{1}} - \varphi_{DEM_SLC_{2}}$$
 Eq. 2

Since CEOS ARD products are already geocoded, it is important to remove the wrapped simulated topographic phase φ_{SimDEM_SLC} from the data in slant range during their production, before the geocoding step [Eq.3]. The key here is to simulate the topographic phase relatively to a constant reference orbit, as done in a regular InSAR processing. There are two different ways to simulate the topographic phase:

- 1- The use of a virtual circular orbit above a nonrotating planet [Zebker et al. 2010]
- 2- The use of a specific orbit cycle or a simulated orbit of the SAR mission.

In both cases, the InSAR topographic phase $\Delta \varphi_{Topo_OrbRef-2}$ is simulated against the position of a virtual sensor φ_{DEM_OrbRef} lying on a reference orbit, instead of being simulated relatively to an existing reference SAR acquisition ($\varphi_{DEM_SLC_1}$). The use of a virtual circular orbit is a more robust approach since the reference orbit is defined at a fixed height above scene nadir and assuming the reference orbital height constant for all CEOS ARD products. While with the second approach, the CEOS ARD data producer must select a specific archived orbit cycle of the SAR mission or define a simulated one, from which the relative orbit, matching the one of the SAR acquisitions to be processed (to be converted to CEOS ARD), is defined as the reference orbit. With this second approach, it is important to always use the same orbit cycle (or simulated orbit) for all the CEOS ARD produced for a mission, in order to preserve the relevant compensated phase in between them. Providing absolute reference orbit number information in the metadata (item 1.7.15) allows users to validate the InSAR feasibility in between CEOS ARD products.

$$\varphi_{Flattened_SLC_2} = \varphi_{SLC_2} - \Delta \varphi_{Topo_OrbRef-2}$$
 Eq. 3

This procedure is equivalent to bring the position of the sensor platform of all the SAR acquisitions at the same orbital position (i.e., zeros baseline distance in between), which results in a Flattened phase $\varphi_{Flattened_SLC}$, independent of the local topography.

The phase subtraction could be performed by using a motion compensation approach (Zebker et al 2010) or directly on the SLC data. Then the geometrical correction is performed on the Flattened SLC which results in a **[GSLC]** product.

[GSLC] can also be saved as a **[NRB]** product by including the Flattened Phase per-pixel data (item 3.7) as follows:

NRB:
$$\gamma_T^o = |GSLC|^2$$

Flattened Phase: $\varphi_{Flattened} = arg (GSLC)$

For **[POL]** product, the Flattened phase needs also to be subtracted from the complex number phase of the off-diagonal elements of the covariance matrix.

Demonstration:

From CEOS ARD flattened SAR products, InSAR processing can be easily performed without dealing with topographic features and orbital sensor position, as for example with two **[GSLC]** products

 $\varphi_{Flattened_GSLC_1} = \varphi_{SLC_1} - \Delta \varphi_{Topo_OrbRef-1} = \varphi_{SLC_1} - \varphi_{DEM_OrbRef} - \varphi_{DEM_SLC_1}$ $\varphi_{Flattened_GSLC_2} = \varphi_{SLC_2} - \Delta \varphi_{Topo_OrbRef-2} = \varphi_{SLC_2} - \varphi_{DEM_OrbRef} - \varphi_{DEM_SLC_2}$ Eq. 4

The differential phase is

$$\Delta \varphi_{CARD_1 - CARD_2} = \varphi_{Flattened_GSLC_1} - \varphi_{Flattened_GSLC_2}$$
 Eq. 5

Which can be expanded using [Eq.3]

$$\Delta \varphi_{CARD_1-CARD_2} = (\varphi_{SLC_1} - \varphi_{DEM_OrbRef} - \varphi_{DEM_SLC_1}) - (\varphi_{SLC_2} - \varphi_{DEM_OrbRef} - \varphi_{DEM_SLC_2})$$
Eq. 6
$$\Delta \varphi_{CARD_1-CARD_2} = (\varphi_{SLC_1} - \varphi_{SLC_2}) - (\varphi_{DEM_SLC_1} - \varphi_{DEM_SLC_2})$$
Eq. 7
$$\Delta \varphi_{CARD_1-CARD_2} = \Delta \varphi_{SLC_1-SLC_2} - \Delta \varphi_{Topo_1-2}$$
Eq. 8

Where $\Delta \varphi_{SLC \ 1-SLC \ 2}$ can be express as equation 1, which gives

$$\Delta \varphi_{CARD_{1}-CARD_{2}} = \left(\Delta \varphi_{Topo_{1}-2} + \Delta \varphi_{Disp_{1}-2} + \Delta \varphi_{Noise_{1}-2} \right) - \Delta \varphi_{Topo_{1}-2}$$
 Eq. 9

Consequently, the differential phase of two CEOS_ARD products doesn't contain a topographic phase, and is already unwrapped (at least over stable areas). It is only function of the surface displacement and of the noise term. Depending on the reference DEM and the satellite orbital state vector accuracies, some residual topographic phase could be present. Atmospheric (item 2.15) and ionospheric (item 2.16) phase corrections could be performed during the production of CEOS ARD products, which reduces the differential phase noise in an InSAR analysis.

$$\Delta \varphi_{CARD_1 - CARD_2} = \Delta \varphi_{Disp_1 - 2} + \Delta \varphi_{Noise_1 - 2}$$
 Eq. 10

Annex 2: Polarimetric Radar [POL]

A2.1 Normalised Covariance Matrices (CovMat)

In order to preserve the inter-channel polarimetric phase and thus the full information content of coherent dual-pol and fully polarimetric data, the covariance matrix is proposed as the data storage format. Covariance matrices are generated from the complex cross product of polarimetric channels, as shown in Equation 1 for fully polarimetric data (C3) and in Equation 2 for dual polarization data (C2). Since these matrices are complex symmetrical, only the upper diagonal elements (bold elements) need to be stored in the ARD database.

Fully polarimetric

$$C3 = \begin{bmatrix} |HH|^2 & \sqrt{2} \cdot HH \cdot HV^* & HH \cdot VV^* \\ \sqrt{2} \cdot HV \cdot HH^* & 2 \cdot |HV|^2 & \sqrt{2} \cdot HV \cdot VV^* \\ VV \cdot HH^* & \sqrt{2} \cdot VV \cdot HV^* & |VV|^2 \end{bmatrix}$$
Eq. 1

Where HV = VH, under the reciprocity assumption. | | and * mean respectively complex modulus and the complex conjugate.

Dual polarization

HH-HV

$$C2 = \begin{bmatrix} |\boldsymbol{H}\boldsymbol{H}|^2 & \boldsymbol{H}\boldsymbol{H}\cdot\boldsymbol{H}\boldsymbol{V}^* \\ \boldsymbol{H}\boldsymbol{V}\cdot\boldsymbol{H}\boldsymbol{H}^* & |\boldsymbol{H}\boldsymbol{V}|^2 \end{bmatrix} \quad or$$

VV-VH

 $C2 = \begin{bmatrix} |VH|^2 & VH \cdot VH^* \\ VH \cdot VH^* & |VV|^2 \end{bmatrix}$

Eq. 2

CH-CV

$$C2 = \begin{bmatrix} |CH|^2 & CH \cdot CV^* \\ CV \cdot CH^* & |CV|^2 \end{bmatrix}$$

Where CH and CV refer to dual polarization transmitting a circular polarized signal. [CH, CV] can be replaced by [LH, LV] or [RH, RV] for left (L) or right (R) hand circular transmission respectively, although RCM will offer only right-hand circular transmission. The coherent HH-VV configuration available on TerraSAR-X could also be represented as C2 format.

Polarimetric decomposition methods like Yamaguchi et al. (2011) for fully polarimetric, or m-chi (Raney et al., 2012) for compact polarimetric data, can be applied directly on averaged (speckle filtered) C3 and C2 matrices respectively. These decompositions enhance scattering information, bring it to a more comprehensible level to end users, and raise the performance of thematic classification methodologies. For SAR products that were acquired with single polarization the use of the covariance matrix does not result in superfluous storage requirements, since only the matrix elements that are populated are kept and the diagonal matrix elements are the backscatter intensities. Thus, a single

channel intensity product would yield only one matrix element and the storage needs would not change.

In order to ease the data structure and the metadata in between C3 and C2, Equation 1 should be redefined as Equation 3. Users will have to take care of this non-standard representation when applying their polarimetric analytic tools. "< >" means that ARD matrix elements are speckle filtered. Equation 3 is valid both for dual-linear and quad polarization.

$$C3 \ modified \ C3m = \begin{bmatrix} \langle |HH|^2 \rangle & \langle HH \cdot HV^* \rangle & \langle HH \cdot VV^* \rangle \\ \langle HV \cdot HH^* \rangle & \langle |HV|^2 \rangle & \langle HV \cdot VV^* \rangle \\ \langle VV \cdot HH^* \rangle & \langle VV \cdot HV^* \rangle & \langle |VV|^2 \rangle \end{bmatrix}$$
Eq. 3

Furthermore, for compact polarimetric data, it is recommended to store them, by simple transformation, under the circular-circular basis, since RR and RL polarizations (Equation 4) permit faster and more intuitive RGB visualizations (R=RR, G=RR/(RR+RL), B= RL).

CH-CV C2 circular
$$C2c = \begin{bmatrix} \langle |RR|^2 \rangle & \langle RR \cdot RL^* \rangle \\ \langle RL \cdot RR^* \rangle & \langle |RL|^2 \rangle \end{bmatrix}$$
 Eq. 4

A2.2: Polarimetric Radar Decomposition (PRD)

Based on the literature, different methodologies allow decomposition of coherent dual-polarization data or fully polarimetric data to meaningful components summarizing the scattering processing with the interacting media. Decomposition techniques are divided in two categories: Coherent and incoherent.

- Coherent decompositions express the scattering matrix by the summation of elementary objects of known signature (ex.: a sphere, a diplane, a cylinder, a helix, ...). They are used mainly to describe point targets which are coherent. As for examples, coherent PRD could be (but not limited to):
 - a. Pauli decomposition (3 layers)

 $|\alpha|^2$: sphere (odd-bounce interaction) [Intensity]

- $|\beta|^2$: 0° diplane (even-bounce interaction) [Intensity]
- $|\gamma|^2$: 45° diplane (volumetric interaction) [Intensity]
- b. Krogager decomposition (5 layers) [Krogager, 1993]
 - $|\,\kappa_\sigma|^{\,2}$: sphere (odd-bounce interaction) [Intensity]
 - $|\kappa_{\delta}|^2$: diplane (odd-bounce interaction) [Intensity]
 - $|\kappa_{\eta}|^2$: helix [Intensity]
 - θ: orientation angle [degrees]
 - φ_s : sphere to diplane angle [degrees]
- c. Cameron (nine classes) -non-dimensional layers [Cameron et al., 1996]

| Classes | ID |
|-----------------|----|
| Triedral | 1 |
| Dihedral | 2 |
| Narrow Dihedral | 3 |
| Dipole | 4 |
| Cylinder | 5 |
| ¼ wave | 6 |

Table A2.1.

| Right Helix | 7 |
|--------------|---|
| Left Helix | 8 |
| Asymmetrical | 9 |

- 2. Incoherent decompositions describe distributed targets in terms of scattering mechanisms and their diversity. They are generated from averaged Covariance, Coherence or Kennaugh matrices. As for examples, incoherent PRD could be (but not limited to):
 - a. Based and saved on intensity of scattering mechanisms can be [Freeman and Durden, 1998; Yamaguchi et al., 2011; Raney et al., 2012]

| Level 2b - Layers | Incohere | ent Decomposi | tions |
|-------------------------------|--------------------|---------------|-------|
| [Intensity] | Freeman- Durden | Yamaguchi | m-chi |
| Odd-bounce (surface/triedral) | х | х | х |
| Even-bounce (dihedral) | х | х | х |
| Random (volumetric) | х | х | х |
| Helix | | х | |

| Table A2.2. |
|-------------|
|-------------|

b. Or based on eigenvector-eigenvalue decomposition expressing the diversity of scattering mechanisms [Cloude and Pottier, 1996] and types

H : Entropy [] is the polarization diversity

A : Anisotropy [] is weighted difference between the 2^{nd} and 3^{rd} eigenvalues

- α : Odd-even bounce angle [Degrees]
- β : orientation angle [Degrees]

A2.3: Polarimetric Radar Decomposition Product Examples

From fully polarimetric covariance matrix ARD format **[POL]** (Level-2a), it is possible to apply any version of the popular Yamaguchi methodology, which decomposes the polarimetric information under relative intensities of 4 scattering types: Odd bounce, Even bounce, Random (volume) and helix. Figure A2.3.1b) shows HH intensity of a RADARSAT fully polarimetric acquired over a Spanish area. Decomposition using Yamaguchi methodology [Yamaguchi et al., 2011] can be expressed in RGB color composite (Figure A2.3.1c) where Red channel refers to even bounce scattering like urban area; Green channel is random scattering like vegetation; and Blue channel is odd bounce scattering like bare soil. Figure A2.3.1d) is equivalent to c) where radiometric normalisation (terrain flattening) has been applied with the help of the DEM of the scene (Figure A2.3.1a).

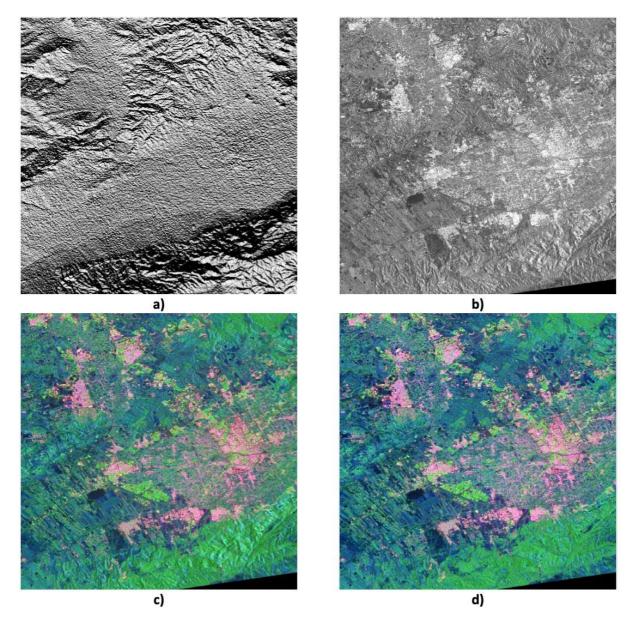


Figure A2.3.1 Example of polarimetric decomposition generated from ARD covariance format. **a**) Shaded DEM of the area; **b**) RADARSAT-2 HH intensity; **c**) Yamaguchi decomposition colour composite (Red: even bounce, Green: random, Blue: odd bounce); **d**) Same as c) with terrain flattening option. Generated from Radarsat-2 FQ18W acquired over Murcia, Spain on 18 June 2014 ©MDA 2014

Figure A2.3.2 is a **[PRD]** compact polarimetric m-chi decomposition [Raney et al., 2012] simulated from two Canadian prairies Radarsat-2 fully polarimetric scenes acquired in May and June 2012. In May, before the growing season (Figure A2.3.2a), m-chi shows mainly surface scattering from bare soil (blue channel) and vegetation interaction from forested areas (green channel), while in June (Figure A2.3.2b) growth of vegetation modifies the radar signal with interacting media function of the vegetation density and geometry which increase the amount of even bounce (red channel) and random scattering.

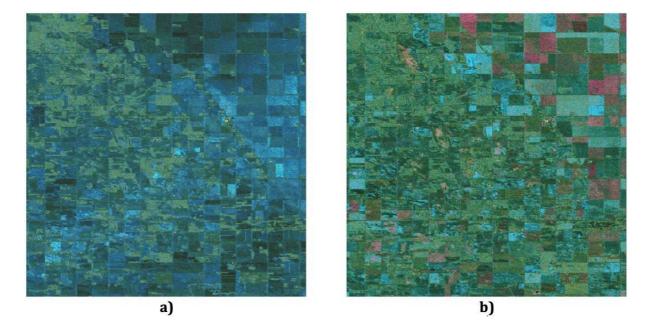
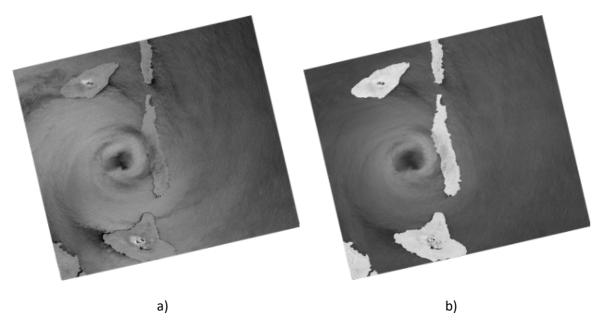


Figure A2.3.2. m-chi decomposition colour composite of simulated compact polarimetry from Radarsat-2 over an agriculture area. RGB representation: Red: even bounce, Green: random, Blue: odd bounce. a) 3 May 2012; and b) 18 June 2012. Generated from Radarsat-2 FQ6W acquired over SMAPVEX12 campaign Manitoba, Canada on 3 May and 20 June 2012 ©MDA 2012

Annex 3: Ocean Radar Backscatter [ORB] example

In contrast to **[NRB]** and **[POL]**, CEOS ARD Ocean Radar Backscatter **[ORB]** products are geoid corrected and are provided in the Sigma-Nought (σ_E^0) backscatter convention (figure A3.1a), which is recommended for most ocean applications. In addition, availability of the "Local (or Ellipsoidal) Incidence Angle Image" (figure A3.1d)) and "Look Direction Image" per-pixel metadata are highly recommended (otherwise the general metadata "1.7.12 Look Direction Polynomials") since they required for operational applications like ocean wind field estimates.



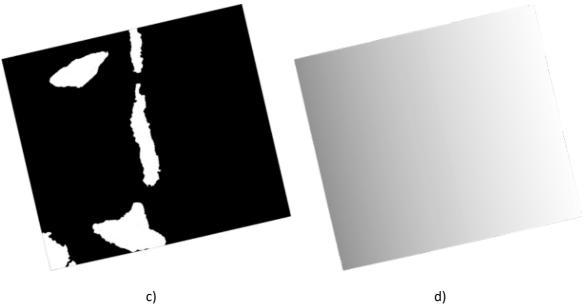
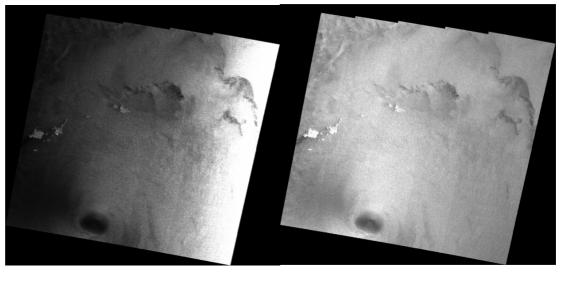


Figure A3.1 Sentinel-1 [ORB] product. Tropical Cyclone Harold passing Vanuatu on April 6, 2020. a) VV intensity b) VH intensity c) Data mask image d) Local incident angle. Processing: A. Rosenqvist (soloEO).

Another useful file is the "Mean Wind-Normalised Backscatter Measurements" (figure A3.2b) which efficiently attenuates intensity variation along range and visually enhances oceanic features. This file is calculated as the ratio between the backscatter intensity and a simulated backscatter intensity image generated using an ocean surface wind model, like CMOD_IRF2 (Quilfen et al, 1998) for VV polarization or CMOD_IRF2K (Vachon and Dobson, 2000) for HH polarization, and the SAR local incidence angle and the look direction information.



a)

b)

Figure A3.2 Sentinel-1 EW [ORB] product. a) ORB intensity (Sigma-Nought) b) Intensity compensated with the "Mean Wind-Normalised Backscatter Measurement" (i.e not Sigma-Nought) and geocoded. Processing: G. Hajduch (CLS)

Annex 4: Geocoded Single-Look Complex [GSLC] example

In contrast to basic **[NRB]** and **[POL] products**, CEOS ARD Geocoded SLC **[GSLC]** products are kept close to the native resolution in complex data format for which local topographic InSAR phases, relative to a reference orbit (Zebker et al. 2010. Zebker. 2017), have been removed. Having a volume of **[GSLC]** products acquired over repeat cycles, already radiometric and phase terrain corrected and geocoded (figure A4.1a) and b)), allows user-friendly production of a first iteration of the InSAR coherence (Eq.5 and figure A4.1c) and differential phases (Eq.6 and figure A4.1d) in between **[GSLC]** pairs, simply by applying local averaging window over the product of a **[GSLC]** product (GSLC1) with the complex conjugate of a second **[GSLC]** (GSLC2) divided by their local averaged intensities. These intermediate files could be used for coherent change detection analysis and surface displacement monitoring.

Complex coherence:
$$\rho = \frac{\sum [GSLC_1 * conj(GSLC_2)]}{\sqrt{\sum |GSLC_1|^2 * \sum |GSLC_2|^2}}$$
 Eq. 5

The InSAR differential phase (Eq. 6) is the argument of the complex coherence estimated with Eq.5

InSAR differential phase:
$$\varphi = \arg(\rho)$$
 Eq. 6

Some advanced [NRB] or [POL] products could include per-pixel "Flattened Phase" data (item 3.7). This "Flattened Phase" enables the possibility to perform InSAR analysis as with two [GSLC] products. As for example, from two different [NRB] products (NRB1) and (NRB2), acquired over repeat cycles (i.e. on the same relative orbit), containing γ_T^0 and their corresponding "Flattened Phase" (FPh1) and (FPh2) per-pixel data, the complex InSAR coherence (Eq.7) can be estimated in the similar manner as Eq. 5 for [GSLC] products.

Complex coherence:
$$\rho_{NRB} = \frac{\sum \left[\left(\sqrt{NRB_1} \cdot e^{i \cdot FPh1} \right) \cdot conj \left(\sqrt{NRB_2} \cdot e^{i \cdot FPh2} \right) \right]}{\sqrt{\sum NRB_1 * \sum NRB_2}}$$
 Eq. 7

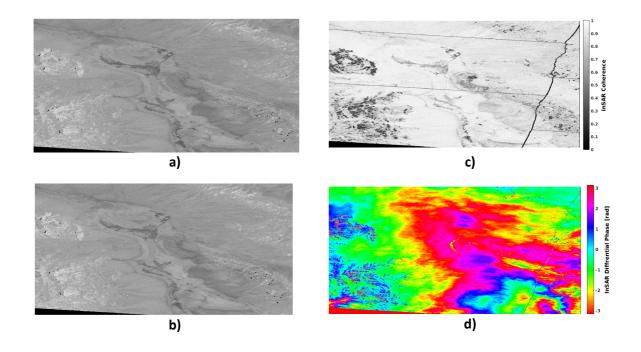


Figure A4.1 Sentinel-1 [GSLC] products example acquired over Death Valley National Park, California, US. a) GSLC1: Intensity data of the first [GSLC] product (2017-05-27); b) GSLC2: Intensity data of the second [GSLC] product (2017-06-08); c) InSAR coherence map generated directly from A4.1a) and b);
d) InSAR differential phase map generated directly from A4.1a) and b).

Some advanced [GSLC] product can be provided with "2.12 Radar Unit Look Vector Grid Image" perpixel metadata (figure A4.2) which gives the accurate 3-D components radar unit look vector used as for example in decomposing the vertical and horizontal component of an InSAR surface displacement estimate.

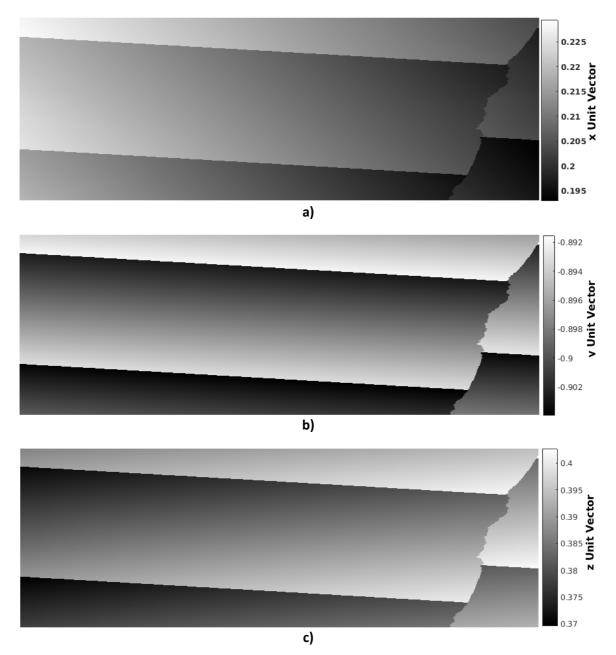


Figure A4.2 3-D components radar unit look vector of the [GSLC] product in figure A4.1. a) x unit component; *b*) y unit component; *c*) z unit component.